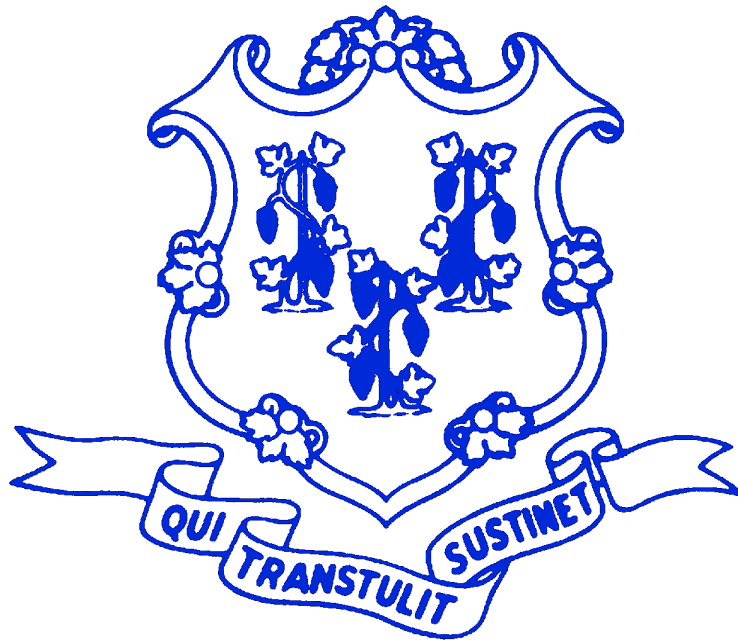


Year-End Analysis of the FY 99 General Fund and Transportation Fund Budgets

October 26, 1999



**Connecticut General Assembly
Office of Fiscal Analysis**

YEAR-END ANALYSIS OF THE FY 99 GENERAL FUND AND TRANSPORTATION FUND BUDGETS

Overview

General Fund

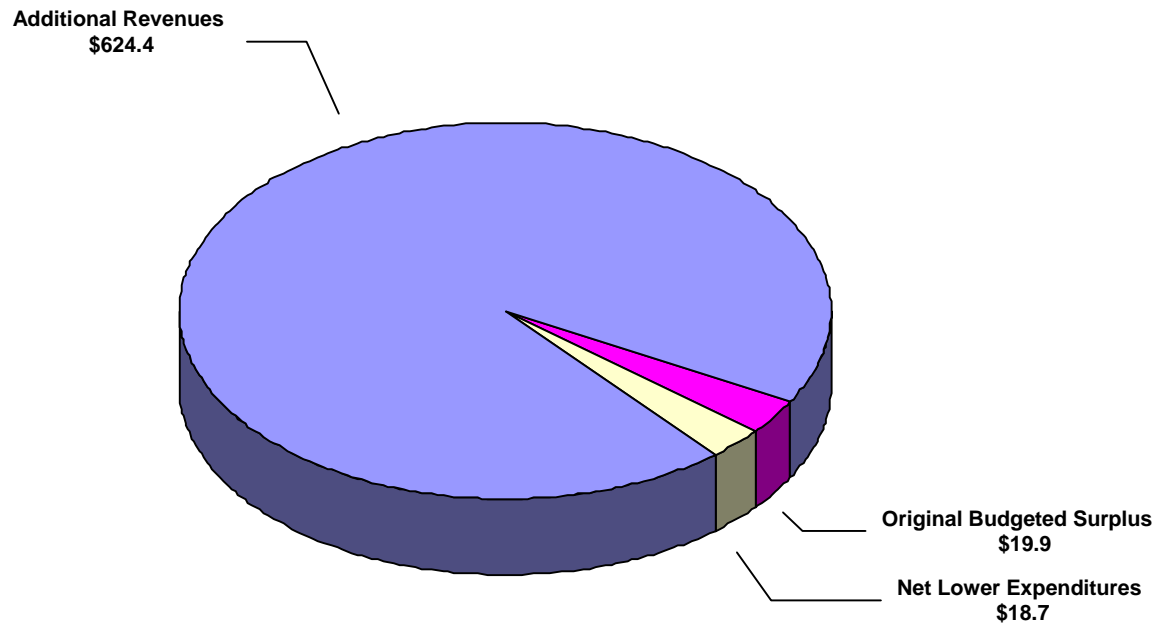
Due to \$624.4 million in additional revenues above the originally budgeted surplus of \$19.9 million, enhanced by \$18.7 million in operational savings (primarily due to \$91.4 million in lapses from the Department of Social Services partially offset by \$50 million in unrealized savings from the unsuccessful effort to privatize state Information Technology (IT) operations), the FY 99 General Fund surplus from operations totaled \$663 million or 6.6% of the budget. After appropriating \$591.2 million of this amount for various purposes ([see page 15](#)), a surplus of \$71.8 million remained. Of this figure, \$30.5 million has been transferred to fill the Budget Reserve Fund (Rainy Day Fund) ([see page 5](#)) with the remaining balance of \$41.3 million in unappropriated surplus going to debt retirement.

Transportation Fund

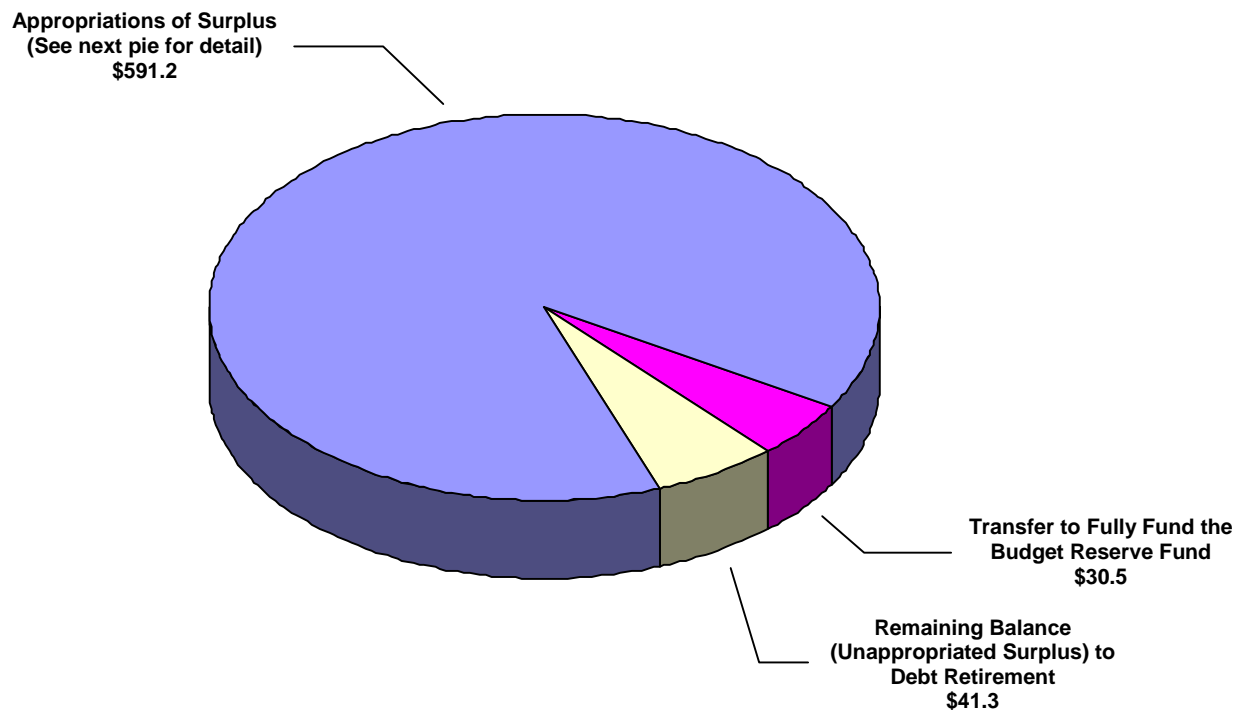
Due to \$47.1 million in additional revenues above the originally budgeted deficit of \$2.9 million and \$24.5 million in lower spending requirements (primarily due to a Debt Service lapse of \$22.7 million), the FY 99 Transportation Fund surplus from operations totaled \$68.7 million or 8.5% of the budget. After deducting appropriations from surplus (including \$12.9 million for the reflectorized marker plate program and \$3.9 million for the settlement of the lawsuit regarding construction of the DOT headquarters building), the remaining surplus of \$51.9 million will be used for debt retirement. This leaves the required fund balance of \$20 million as of June 30, 1999 in addition to the \$31.4 million from FY 98 surplus, which will also be used for debt retirement.

For more information, please refer to the individual sections of this report related to the General Fund and the Transportation Fund. The charts on the pages immediately following this overview are designed to give a visual perspective of the items which contributed toward the FY 99 General Fund surplus and the manner in which it was dispersed.

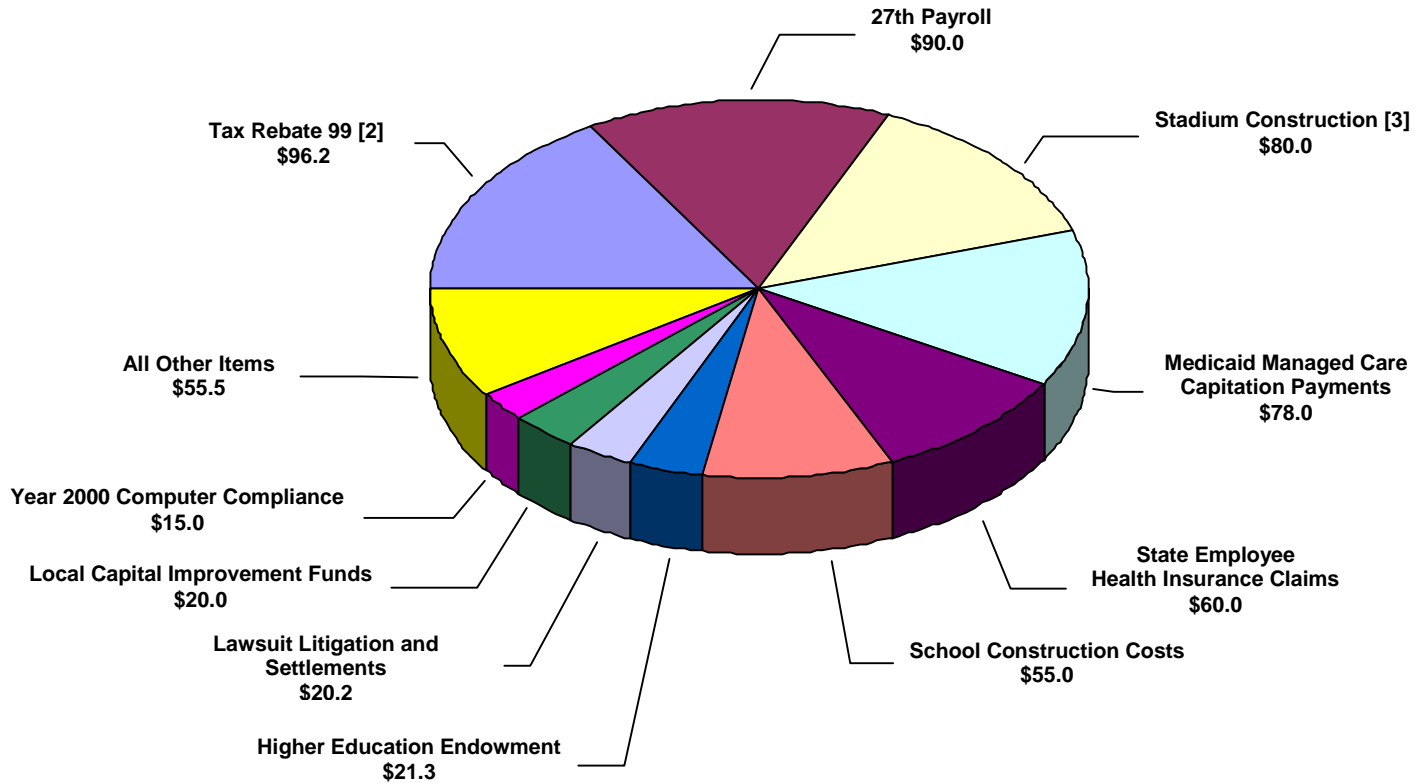
**Components of \$663 Million General Fund Surplus for FY 99
(in millions)**



**Disposition of \$663 Million General Fund Surplus for FY 99
(in millions)**



**Appropriations of \$591.2 Million of General Fund Surplus for FY 99 [1]
(in millions)**

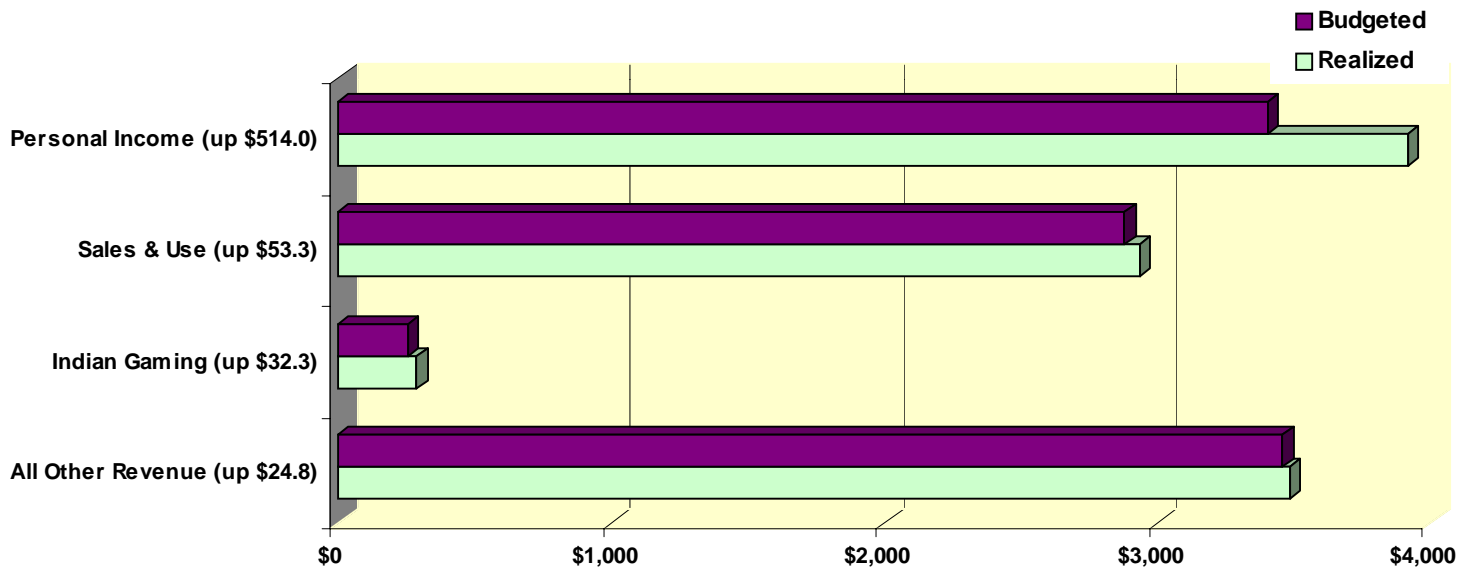


[1] These funds were carried forward for expenditure in FY 00 and, in some cases, FY 01.

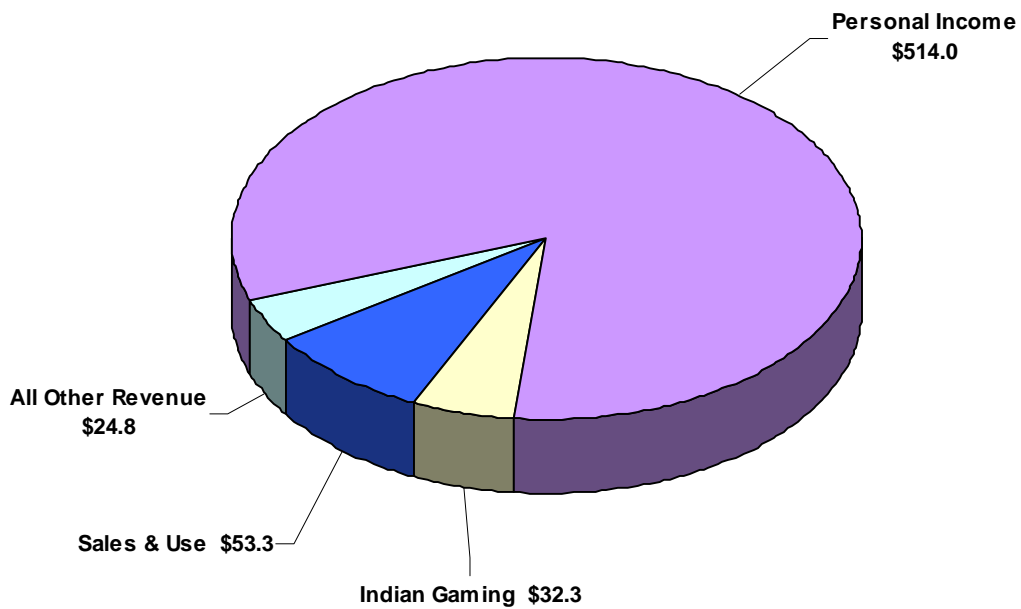
[2] In addition to these funds, \$13.3 million remaining from Rebate 98 will also be used for Rebate 99.

[3] In addition to these funds, \$20 million was provided from the Reserve for Salary Adjustments account for this project.

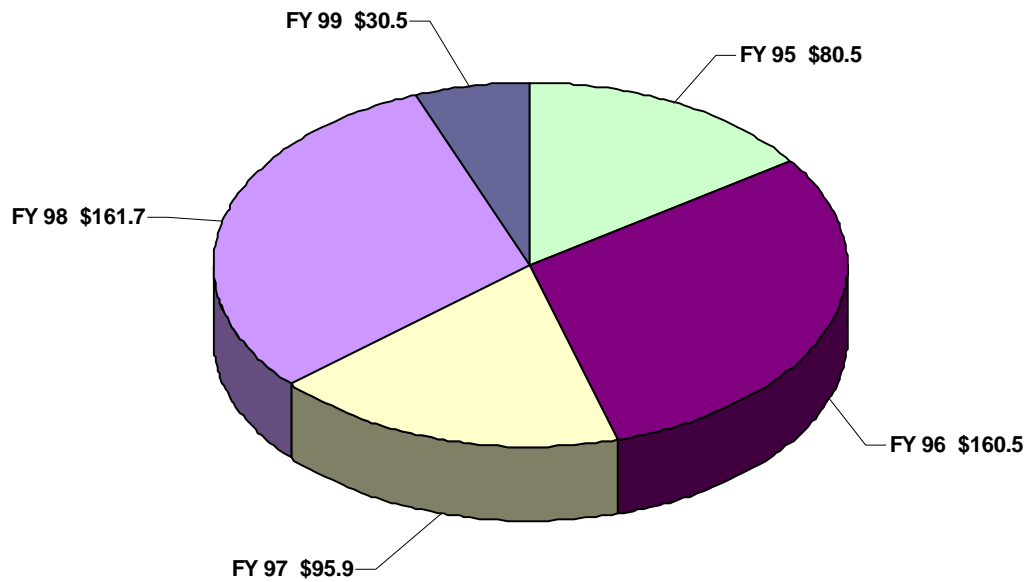
**\$624.4 Million in Additional General Fund Revenue (Net) for FY 99 by Major Category
(in millions)**



**Additional \$624.4 Million General Fund Revenue Realized
Above Budget for FY 99 by Major Category
(in millions)**



**Contributions from FY 95 through FY 99 Surpluses to the
Budget Reserve (Rainy Day) Fund
(in millions)**



With the \$30.5 million contribution from FY 99 surplus, the Budget Reserve Fund has reached its maximum at \$529.1 million, or 5% of the FY 00 budget.

I. General Fund

Final Figures Compared to the Original Budget

The budget adopted by the General Assembly in June 1998 for FY 99 anticipated General Fund revenues of \$9,992 million and General Fund expenditures of \$9,972.1 million. Thus, at the time the budget was adopted, revenue estimates exceeded total net appropriations by \$19.9 million.

The General Fund ended FY 99 with an operating surplus of \$663 million (including the budgeted surplus of \$19.9 million), or 6.6% of the budget. The surplus resulted primarily from higher than budgeted revenues (up \$624.4 million or 6.2%) and expenditures that were under budget by \$18.7 million. After appropriating an additional \$591.2 million for the purposes outlined below, the remaining surplus amounts to \$71.8 million. Of this figure, \$30.5 million has been transferred to the Budget Reserve Fund (Rainy Day Fund) in accordance with Sec. 4-30a, CGS with the remaining balance of \$41.3 million in unappropriated surplus going to debt retirement in accordance with the Article XXVIII of the amendments to the state constitution.

When added to the current balance of \$498.6 million, the Budget Reserve Fund has reached its maximum at \$529.1 million, or 5% of the FY 00 budget. Under current law, the Budget Reserve Fund can grow to equal 5% of the General Fund and is to be used only to fund a future deficit. Please refer to Exhibit A on [page 9](#) for a summary of total General Fund revenues and expenditures for FY 99.

Revenues

FY 99 revenues were \$624.4 million above budget plan projections, which represents 6.2% of total revenues. The items with the most significant differences from the budget act estimates were (1) the Personal Income Tax, (2) Sales Tax, (3) Corporation Business Tax, (4) Oil Companies Tax, (5) Real Estate Conveyance Tax, (6) Miscellaneous Taxes (7) Indian Gaming Payments, (8) Rents, Fines, and Escheats, and (9) Miscellaneous Revenue.

Income tax revenue finished \$514 million above budget plan projections; \$420.6 million as a result of higher collections and \$93.4 million from lower than anticipated refunds. The budget estimate projected no overall growth in tax receipts because it was assumed that the growth in taxes collected on wages (withholding) would be offset by negative growth in non-wages income. This assumption proved incorrect mainly because the stock market experienced its fourth straight year of double-digit growth, therefore causing revenues to growth by 9.2%. Non-wage income, especially income generated by capital gains, accounted for most of the difference between the budget act estimate and actual revenue. Estimated and final payments were above budget act estimates by a combined \$371 million. Collections from withholding taxes finished better than anticipated by \$45 million. Withholding taxes grew at 7.9% compared to 6% assumed in the budget act estimates. The remaining difference from the budget act estimate (\$98 million) was due to lower refunds and slightly higher audit revenue.

The booming stock market translated into income tax revenue in various ways:

Capital gain earnings from selling stock, which was partially due to the behavioral response to the federal tax change that was enacted two years ago. Investors who

waited for the federal government to fulfill its long-time promise of a lower capital gains tax rate decided to take their gain because of lower capital gains tax rates;

Income from 401(k) plans and IRAs, which may have large stock holdings, are being drawn down by retirees as well as over half of workers who, when they are changing jobs, cash in their accounts - thus raising their tax bills;

The booming stock market coupled with low interest rates has caused individuals to pour money into mutual funds which translates into many more people becoming subject to the capital gains tax from high stock turnover;

As the stock market continued to grow, stock options exercised by executives were more valuable than the previous year, therefore, given the current options boom, greater tax revenue was realized from this source.

The Sales Tax revenue finished above the budget plan estimate by \$53.3 million. Given the growth over the past two years (7.8% in FY 97 and 7.5% in FY 98) the budget estimate assumed that consumers had satisfied all of their pent-up demand built up by the recession in the early 1990s and that revenues would grow near the historic trend mark of 5.5%. Due to the wealth effect resulting from the booming stock market and a strong overall economy, consumer demand remained high, which translated into better than expected collections; actual growth was 7.2%.

The Real Estate Conveyance Tax finished \$18.8 million above the budget plan estimates. FY 99's growth rate of 14.1% marks the third year of double-digit growth (15.4% in FY 97 and 24.6% in FY 98). Because the growth in the number of conveyances was only up by 4%, the growth in revenue is mainly due to increases in real estate prices during FY 99.

The Oil Companies Tax finished \$38.2 million lower than the budget act estimate due primarily to lower motor fuel prices and greater than anticipated transfers to the Underground Storage Tank Clean-up Account. Motor fuel prices during FY 99 were approximately 15% lower than they were during FY 98, which caused a reduction in gross receipts and lower tax revenue. Actual transfers to the Underground Storage Tank Clean-up Account for FY 99 were \$20.9 million, which was \$5.9 million more than what was anticipated to be transferred in the budget act estimate.

Indian Gaming payments finished \$32.3 million above the budget plan, \$10.4 million from activity at the Foxwood's Casino and \$20.4 million from the Mohegan Sun Casino. Revenues from Foxwood's grew by 14% and by 21% from the Mohegan Sun. These rates outpaced the growth experienced by Nevada and New Jersey slot machines, which were around 5.2%.

Rents, Fines, and Escheats finished \$20.8 million above the budget plan and was primarily due to the sale of unclaimed (escheated) stock that was sold to take advantage of the favorable stock market conditions during the fiscal year.

Miscellaneous Other Revenue finished \$50 million below the budget plan. Revenues were less than projected as a result of the removal of \$50 million in one-time revenue anticipated from the sale of home mortgage loans to the Connecticut Housing Finance Authority (CHFA). Since General Fund revenues were far exceeding the budget act estimates, the administration decided that the sale of the mortgages would not take place in FY 99.

Expenditures

As originally budgeted, expenditure requirements had been estimated at \$9,972.1 million. However, actual FY 99 expenditures were \$9,953.4 million or \$18.7 million lower than originally anticipated. This figure includes additional savings adjustments of \$96.4 million due to \$91.4 million in Department of Social Services lapses, additional unallocated lapses of \$3.2 million and a miscellaneous adjustment of \$1.8 million. Offsetting these savings are \$77.7 million in additional expenditure adjustments including \$50 million in unrealized savings from the unsuccessful effort to privatize state Information Technology (IT) operations, \$13.8 million in unrealized savings from planned General Personal Services (PS) and Other Expenses (OE) Reductions, Adjudicated Claims of \$4.9 million and Refunds of Escheated Property of \$9 million.

Please refer to Exhibit D on [page 21](#) for a complete listing of budgeted and adjusted appropriations, actual expenditures, lapses and carry-forwards into FY 00.

Deficiencies

The General Assembly approved one deficiency bill during the 1999 session, SA 99-3, which transferred \$27.7 million from the Medicaid account within the Department of Social Services to various General Fund accounts within the eight state agencies indicated below. Deficiency transfers rather than deficiency appropriations were made because the previous \$80 million appropriation to the Stadium Construction account in accordance with the provisions of PA 98-1 (December Special Session), had reduced the amount by which the budget was under the spending cap to \$2.3 million.

General Fund Deficiencies	
Agency / Account	Amount
Ethics Commission	
Personal Services	\$19,000
Judicial Selection Commission	
Other Expenses	25,000
Insurance and Risk Management Board	
Personal Services	12,000
Other Expenses	681,000
Equipment	4,000
Agency Total	697,000
Office of the Child Advocate	
Other Expenses	30,000
Department of Mental Retardation	
Personal Services	5,200,000
Department of Mental Health and Addiction Services	
Personal Services	1,700,000
Teachers' Retirement Board	
Other Expenses	59,000
Retirees Health Service Cost	368,000
Municipal Retiree Health Insurance Costs	573,000
Agency Total	1,000,000
Department of Children and Families	
Personal Services	19,000,000
Total – Deficiency Transfers	\$27,671,000

Exhibit A

FY 99 General Fund Summary as of June 30, 1999 (in millions)

	<u>Budget Plan</u>	<u>Increases (Decreases)</u>	<u>Actual</u>
Revenues			
Taxes	\$ 7,236.5	\$ 580.8	\$ 7,817.3
Other Revenue	921.8	29.0	950.8
Other Sources	<u>1,833.7</u>	<u>14.6</u>	<u>1,848.3</u>
Total Revenue	\$ 9,992.0	\$ 624.4	\$ 10,616.4
Appropriations			
Original Appropriations - Gross	\$ 10,119.5	\$ -	\$ 10,119.5
Less:			
Lapses (see p. 21 for details)			
Dept. of Social Services Lapse (net of Nursing Home costs and deficiency transfers)	-	(91.4)	(91.4)
All Others	(74.4)	(3.2)	(77.6)
General PS and OE Reductions	(23.0)	13.8	(9.2)
Statewide Agency Functional Consolidation (IT Priv.)	(50.0)	50.0	-
Miscellaneous Adjustment	-	(1.8)	(1.8)
Plus:			
Adjudicated Claims	-	4.9	4.9
Refunds of Escheated Property	<u>-</u>	<u>9.0</u>	<u>9.0</u>
Total Expenditures [1]	\$ 9,972.1	\$ (18.7)	\$ 9,953.4
Surplus from Operations for FY 99	\$ 19.9	\$ 643.1	\$ 663.0
Appropriations of Surplus:			
Tax Rebate 99 (plus \$13.3 million from Rebate 98)			\$ (96.2)
27th Payroll			(90.0)
Stadium Construction (PA 98-1 Dec. Sp. Session) [2]			(80.0)
Medicaid Managed Care Capitation Payments			(78.0)
State Employee Health Insurance Claims			(60.0)
School Construction Costs			(55.0)
Higher Education Endowment			(21.3)
Lawsuit Litigation and Settlements			(20.2)
Local Capital Improvement Funds			(20.0)
Year 2000 Computer Compliance			(15.0)
All Other Items			(55.5)
Total Appropriations of Surplus			\$ (591.2)
Remaining Surplus			\$ 71.8
Transfer to Fully Fund the Budget Reserve Fund [3]			(30.5)
Remaining Balance (Unappropriated Surplus) to Debt Retirement [4]			\$ 41.3

[1] Excludes expenditures from prior year carry-forwards and appropriations from projected surplus.

[2] An additional \$20 million has been deposited in the Stadium Construction account, but is not shown here because it does not impact the surplus, having been provided from the Reserve for Salary Adjustments account from which any balances typically carry forward rather than lapse.

[3] This \$30.5 million addition increases the amount in the Budget Reserve Fund (BRF) from \$498.6 million to \$529.1 million. This keeps the BRF at the statutory limit of 5% of the net General Fund appropriations for FY 00 as reflected in the budget provided by SA 99-10.

[4] The remaining balance (unappropriated surplus) will be used for debt retirement in accordance with the constitutional amendment adopted November 25, 1992.

Exhibit B

FY 99 General Fund Revenue (in thousands)

	FY 99 Growth Rates [1]		FY 99 Revenue		Over/(Under)	
	Budget	Actual	Budget	Actual	Budget	
Taxes						
Personal Income	0.0	9.2	\$3,400,200	\$3,820,800	\$420,600	12.4%
Sales and Use	5.5	7.2	2,878,900	2,932,200	53,300	1.9%
Corporations	1.9	4.2	586,400	619,500	33,100	5.6%
Inheritance and Estate	(3.6)	(2.5)	234,000	237,600	3,600	1.5%
Hospital Gross Receipts	(2.0)	1.8	127,600	128,100	500	0.4%
Public Service Corporations	2.3	(1.5)	172,900	167,700	(5,200)	(3.0%)
Insurance Companies	3.1	3.6	195,300	196,200	900	0.5%
Cigarettes	(2.5)	(3.0)	122,900	123,400	500	0.4%
Oil Companies	3.0	(45.4)	60,400	22,200	(38,200)	(63.2%)
Real Estate Conveyance	0.0	14.1	88,000	106,800	18,800	21.4%
Alcoholic Beverages	0.0	1.2	39,700	40,300	600	1.5%
Miscellaneous	3.5	45.0	26,400	40,600	14,200	53.8%
Admissions, Dues and Cabaret	2.0	7.4	26,400	26,900	500	1.9%
Total Taxes			\$7,959,100	\$8,462,300	\$503,200	6.3%
Refunds of Taxes			(\$722,600)	(\$645,000)	\$77,600	(10.7%)
Net General Fund Taxes			\$7,236,500	\$7,817,300	\$580,800	8.0%
Other Revenue						
Transfer Special Revenue			\$263,400	\$280,500	\$17,100	6.5%
Indian Gaming Payments			256,200	288,500	32,300	12.6%
Licenses, Permits and Fees			116,200	122,100	5,900	5.1%
Sales of Commodities and Services			32,000	30,100	(1,900)	(5.9%)
Rentals, Fines and Escheats			35,000	55,800	20,800	59.4%
Investment Income			56,000	60,800	4,800	8.6%
Miscellaneous			163,000	113,000	(50,000)	(30.7%)
Total Other Revenue			\$921,800	\$950,800	\$29,000	3.1%
Other Sources						
Federal Grants			\$1,923,700	\$1,938,300	\$14,600	0.8%
Net Statutory Transfers						
To Mashantucket/Mohegan Fund			(90,000)	(90,000)	0	0.0%
Total - Other Sources			\$1,833,700	\$1,848,300	\$14,600	0.8%
Total Revenue			\$9,992,000	\$10,616,400	\$624,400	6.2%

[1] Tax growth rate reflects adjustments for rate and base changes.

FY 99 General Fund Deficiency Transfers

The following is an explanation of each deficiency by agency:

State Ethics Commission

\$19,000

The State Ethics Commission experienced a \$19,000 deficiency in Personal Services due to: (1) the lack of funds in FY 99 for the reclassification of the commission's clerk which occurred in FY 98 and; (2) three personnel miscalculations that occurred during the last budget cycle when such matters were handled by the former business office. (These miscalculations included failure to properly reflect reclassification of other positions in the current budget, miscalculated annual increments and underestimated longevity payments.) Funds were not available for transfer from elsewhere in the agency due to the reclassification of two additional positions, and due to costs related to the development of the Lobbyist Electronic Filing System.

Judicial Selection Commission

\$25,000

An additional \$25,000 was needed to cover the costs associated with potential investigations in advance of re-appointments.

Insurance and Risk Management Board

\$697,000

The board experienced a deficiency of \$697,000. The amount of the deficiency included \$681,000 in Other Expenses. This was due to a large amount of fleet liability claims. The remaining amount included \$12,000 in Personal Services and \$4,000 in Equipment, which were due to changes in staffing which occurred within the agency.

Office of the Child Advocate

\$30,000

The Office of the Child Advocate experienced a \$30,000 deficiency in Other Expenses due to: (1) costs of \$42,000 for the Child Fatality Review Board (CFRB); and (2) bills of \$8,000 for activities of the CFRB that were carried forward from FY 98 due to a delay in vendor billing. Costs for the Child Fatality Board included consulting costs, printing costs, subpoena fees, courier fees, postage and mileage reimbursement. The panel reviewed 20 cases and issued five formal reports. An appropriation of \$20,000 was provided in FY 99 for costs associated with the panel, yet due to the unforeseeable number of cases before the panel, these funds were exhausted, and a \$30,000 deficiency resulted.

Costs Related to the Child Fatality Review Board	
FY 98 CFRB Bills Carried Forward	\$8,000
Printing	11,000
Subpoena Fees	3,500
Consultants	20,000
Courier Services	3,800
Postage	2,200
Miscellaneous Costs	1,500
Total CFRB COST	\$50,000
LESS: FY 99 Appropriation for CFRB Expenses	(20,000)
CFRB Deficiency	\$30,000

Department of Mental Retardation**\$5,200,000**

SA 99-3, the deficiency act, provided \$5.2 million in funding in the Personal Services account in FY 00. The department experienced delays in filling positions vacated due to the Early Retirement Incentive Program (ERIP). As a result of the delays, the overtime costs exceeded the budgeted levels. Of the total deficiency, approximately \$4 million is attributed to Southbury Training School to which the department upgraded services, which increased the demand on the Personal Services resources.

Funding available from the Community Residential (\$220,650) and Employment Opportunities and Day Services (\$1,850,000) accounts was due to delayed implementation of new community placements. FAC #99-38 authorized the transfer of funds from these accounts to reduce the Other Expenses account shortfall of \$1.9 million due primarily to the continued Southbury Training School (STS) litigation costs that exceeded budgeted levels in addition to enhanced care at STS. The transfer also reduced the Clinical Services account shortfall (\$170,650) that incurred additional costs due to enhanced care at STS.

In addition, FAC #99-55 authorized the transfer of \$400,000 from the Workers' Compensation Claims account to Personal Services as a result of separation costs of retirees and unbudgeted longevity payments.

In lieu of transferring the remaining funds in the Community Residential account to cover the Personal Services shortfall, it was legislative intent that the unexpended balance in this account be carried forward to support the waiting list. Section 72(a) of SA 99-10 includes carry-forward provisions to transfer the unexpended balance of the Community Residential Services account to the Community Residential Facility Revolving Loan Fund to enhance support for the residential waiting list.

Department of Mental Health and Addiction Services**\$1,700,000**

The Department of Mental Health and Addiction Services experienced a deficiency of \$3.4 million in its Personal Services account. This deficiency was primarily due to higher than anticipated overtime costs, mainly at the Connecticut Valley Hospital. These overtime costs are primarily related to increased staffing needs for TBI/ABI (Traumatic Brain Injury / Acquired Brain Injury) patients. However, this deficiency included approximately \$1.7 million in holdbacks. Since these funds were released, the department experienced a net deficiency of \$1.7 million.

Teachers' Retirement Board**\$1,000,000**

Additional funding in the amount of \$59,000 in Other Expenses was required to pay for costs associated with the board's health care consultant and communication to its members. An additional \$368,000 in the Retirees Health Service Cost account was required due to the delay in implementing the managed care options from January 1, 1999 to July 1, 1999. The budgeted per unit cost was \$110 per month and the actual cost was approximately \$135 per month. The state pays 25% of the actual cost from this account. Funding in the amount of \$573,000 was also required in the Municipal Retiree Health Insurance Cost account due to the increase in the number of retirees covered by municipal health plans (this additional amount was for the state's share provided to the towns which use the money to pay the insurance carriers on behalf of retired teachers). The budgeted number of retirees was approximately 11,200 and the actual number was nearer to 13,000.

Department of Children and Families**\$19,000,000**

The Department of Children and Families experienced a deficiency of approximately \$19 million (or 5.1% of the agency's \$373.1 million General Fund budget) in FY 99. Shortfalls were realized in the following areas:

Inpatient Psychiatric Treatment - \$3,300,000

Commencing October 1, 1997, the Department of Children and Families (DCF) became responsible for payment of hospitalization costs for DCF clients in inpatient psychiatric settings who exceeded Medicaid managed care coverage limits. This liability extended to expenses associated with hospitalized children in placement as of that date and those placed prior to September 1, 1998. (An agreement has been reached under which the Department of Social Services will share financial responsibility with managed care organizations for children initially placed after September 1, 1998.)

Clients in these placements frequently experienced discharge problems that prolonged the number of days of stay. The DCF expended approximately \$3.3 million in FY 99 for this purpose. Budgeted funds did not anticipate DCF payment for these services.

Safety Initiative - \$1,475,000

Specialized Shelters	\$1,055,000
Training Academy	65,000
Administrative Case Review	<u>355,000</u>
Total	\$1,475,000

The department has awarded contracts for the development of Specialized Shelters for Children. This will allow the agency to assess children who are experiencing out-of-home placement for the first time so that permanency and treatment plans can be developed in a timely manner. Children from birth through age 12 will be served.

This initiative was developed by the agency following the close of the 1998 legislative session. 182 new beds have been approved, which will result in an estimated annualized gross cost to the state of \$13,286,000. (These costs are partially reimbursed by federal financial participation and may be further offset by decreased payments for traditional foster care or group home placements.)

Additional costs of \$1 million were required for FY 99 plus agency operating costs of approximately \$55,000 to reflect three-month support of three case management staff to oversee the new program.

The agency also received approval of the Finance Advisory Committee (FAC) to increase its authorized position count to allow for the hiring of 37 new positions (27 full-time and 10 part-time) in FY 99. This included the three Specialized Shelter oversight staff, three additional DCF Training Academy staff (at a partial year cost of approximately \$65,000) and 21 Administrative Case Review staff (at a partial year cost of approximately \$355,000).

Additional Operating Costs - Long Lane School/Overtime - \$4,425,000

Approximately \$4.4 million in unexpected Personal Services and Equipment expenditures resulted due to the hiring of additional positions as well as overtime and other personnel costs that exceeded original projections. Included in this sum was \$740,000 associated with the addition of 48 positions at Long Lane School to allow the agency to meet critical needs. The Finance Advisory Committee approved these positions at its December 1998 meeting. Also included is \$3.68 million to reflect other unexpected personnel costs, primarily those of increased overtime performed by children's protective services workers and Long Lane School employees.

Board and Care for Children - \$9,300,000

Approximately \$9.3 million in higher than budgeted costs of supporting children in foster care and other residential placements resulted. This exceeded the \$163.5 million appropriated for this purpose by 5.6%. Of this, close to \$1 million was due to rate increases negotiated by the department with private providers that were not reflected in the revised FY 99 budget. The remainder of the shortfall was based upon updated projections.

Workers' Compensation Claims - \$500,000

Based on prior years' experience and FY 99 expenditures, a \$500,000 deficiency resulted in the Workers' Compensation Claims account. This is 31.4% of the \$1,588,611 appropriated for FY 99. Actual expenditures in FY 98 were \$1,958,948.

Total Deficiencies**\$27,671,000****Lapses**

The General Fund's year-end lapse was \$30.7 million higher than the original estimated lapse of \$147.5 million. This was primarily due to a \$91.4 million lapse in the Department of Social Services (DSS) partially offset by the loss of \$50 million in savings due to the unsuccessful effort to privatize state Information Technology (IT) operations and an unrealized savings of \$13.8 million in General Personal Services (PS) and Other Expenses (OE) Reductions for which \$23 million had been anticipated in the budget. The DSS lapse resulted primarily from declining Medicaid expenditures and welfare expenditures. The department's total available appropriation had been reduced by \$27.7 million as a result of a transfer of funds from the Medicaid account to cover deficiencies in other agencies. A lapse of \$25.9 million in Debt Service is due primarily to differences between assumed and actual dates of bond issuance and the interest rates at which bonds were issued and a reduction in arbitrage rebate payments. For complete explanations of these major lapses, please see the section pertaining to FY 99 General Fund Lapsing Appropriations beginning on [page 16](#).

Use of Surplus

In addition to appropriating the biennial budget for 1999-2001, the General Assembly, through legislation, directed the use of \$608 million of General Fund and Transportation Fund surplus from FY 99. The budget legislation provided for another tax rebate of \$109.5 million -- \$96.2 million from the FY 99 surplus and \$13.3 million from the unexpended balance of the 1998 Rebate Program. Other significant expenditures coming from the surplus include funding of the 27th state employee payroll that occurs every 11 years (\$90 million), appropriating \$80 million

(plus a transfer of \$20 million from the Reserve for Salary Adjustments) for stadium construction, funding future Medicaid managed care capitation payments totaling \$78 million, the settlement of \$60 million in past state employee health care claims, and providing \$55 million for upcoming school construction costs. The Office of Fiscal Analysis estimates that \$46.4 million of the surplus appropriation is to be used for ongoing programs in FY 00 and \$51.7 million in FY 01.

In order to appropriate sums for specific purposes, such as the tax rebate, future Medicaid capitation payments, and other expenditures to be carried forward from FY 99 to FY 00, it became apparent that the spending cap would be exceeded for the second time since it was adopted in 1991. It should be noted that prior to passage of SA 99-10 (the appropriations act), the FY 99 budget was under the spending cap by \$2.3 million (including the surplus appropriation of \$80 million for stadium construction). Since this act appropriated an additional \$511.2 million from the General Fund and \$16.8 million from the Transportation Fund surplus for various items, the governor issued a declaration to exceed the cap by \$525.7 million in FY 99. To exceed the spending cap requires a three-fifths vote by the General Assembly, which was obtained after the governor issued a declaration of "the existence of extraordinary circumstances." Those circumstances included seven years of consecutive budget surpluses, sufficient funds to fill the Budget Reserve Fund, and the requirement for additional state funds for other needs. In FY 98, the appropriations exceeded the cap by \$194 million.

The total amount of \$591.2 million in appropriations from General Fund surplus was provided for:

Item	Surplus Appropriation
Tax Rebate 99 (plus \$13.3 million from Rebate 98)	\$(96.2)
27th Payroll	(90.0)
Stadium Construction (PA 98-1 Dec. Sp. Session) (plus \$20 million from Reserve for Salary Adjs.)	(80.0)
Medicaid Managed Care Capitation Payments	(78.0)
State Employee Health Insurance Claims	(60.0)
School Construction Costs	(55.0)
Higher Education Endowment	(21.3)
Lawsuit Litigation and Settlements	(20.2)
Local Capital Improvement Funds	(20.0)
Year 2000 Computer Compliance	(15.0)
All Other Items	(55.5)
Total Appropriations of Surplus	\$(591.2)

FY 99 General Fund Lapsing Appropriations

As of June 30, 1999, General Fund agencies and non-functional accounts lapsed a total of \$178.2 million. This is \$30.7 million higher than the originally anticipated lapse of \$147.5 million. This was primarily due to a \$91.4 million lapse in the Department of Social Services (DSS) partially offset by the loss of \$50 million in savings due to the unsuccessful effort to privatize state Information Technology (IT) operations and an unrealized savings of \$13.8 million in General Personal Services (PS) and Other Expenses (OE) Reductions for which \$23 million had been anticipated in the budget.

Significant General Fund lapsing appropriations are outlined below.

Department of Social Services

\$91,415,066

The Department of Social Services, with a total available appropriation of \$3,231 million, lapsed \$91.4 million at the end of FY 99. This lapse represents 2.8% of its total budget. The most substantial portion of the lapse, \$25.8 million, results from an unanticipated decline in Medicaid expenditures. A second significant area of surplus occurs in welfare expenditures. Spending for TFA cash assistance and childcare expenditures were less than budgeted amounts. Childcare had an \$11.1 million surplus, while cash assistance was \$8.2 million below expected expenditures. The department also lapsed funds in the following three new programs; State Food Assistance (\$3.4 million), School Readiness (\$2 million), and Indigent Immigrant Medical Services (\$2.7 million). Due to declining caseload, the three supplemental assistance programs had a net lapse of \$7.4 million. Finally, enrollment in the state's health insurance program for children, HUSKY, has been less than projected, resulting in a lapse of \$19 million in the \$22 million program.

Medicaid - \$25,815,812

The Medicaid program, budgeted at \$1,998 million, lapsed \$25.8 million (1.3% of the total available appropriation). The Medicaid program covers a broad range of services including nursing home care, Medicare and managed care premiums, hospital services, home care, pharmacy, and physicians. The surplus in funds can be attributed to three areas; (1) fee-for-service hospital expenditures, (2) managed care costs, and (3) long-term care costs. These three areas account for a surplus totaling \$39 million. This surplus is offset by greater than expected pharmacy spending of \$11 million more than was initially appropriated. The net surplus for all categories of services was \$25.8 million. Another significant expenditure affecting the surplus results from increased costs due to the nursing home wage and staff enhancement initiative. The department incurred \$12.5 million in additional costs for nursing homes due to this initiative. These payments have been covered by surplus Medicaid funds.

The primary factor affecting spending in these three areas – hospital care, managed care, and long term care – was the level of clients being served has either declined or not risen as forecasted. The monthly average number of clients served by nursing homes has dropped by 831 from FY 98 (20,852) to 20,021 for FY 99. Expenditure forecasts held the client population level from FY 98 to FY 99. Both inpatient and outpatient fee-for-service clients have dropped significantly (down 4%) during the same period and the cost per patient has remained steady. The last area, managed care, was forecasted to increase as a result of expanded outreach associated with the HUSKY program. Though there has been some growth in the client population served by managed care, it has not been as great as had been expected. While the

average monthly population was up by nearly 18,000 clients from FY 98 to FY 99, the projected expansion of the program was budgeted at a greater client level resulting in a \$17 million surplus in the \$411 million managed care program.

Overall, the growth of Medicaid spending has declined significantly over the past seven years, from 13.1% in FY 93 to 1.1% for FY 99. The rate of decline is the result of a number of factors, including both a leveling off of clients served and a reduction in medical price inflation.

HUSKY Program - \$18,991,338

The HUSKY program (Part B – Non-Medicaid) experienced a significant surplus in its budget of \$22 million. The surplus of \$19 million was directly related to the level of enrollment being much less than originally anticipated based upon the estimated number of uninsured children in Connecticut. The program was initially budgeted to begin the fiscal year with 4,738 clients, with an additional 1,180 clients added each month. Actual data for FY 99 had enrollment far below the forecast. The fiscal year began with only 306 clients with approximately 310 being added each month for a year-end total of 4,049 clients.

State Food Stamp Supplement - \$3,399,763

The state established a Food Assistance Program for Legal Immigrants during the past legislative session. This program was intended to provide food stamp benefits for those immigrants who had been cut off from receiving federal benefits under the Personal Responsibility and Work Reconciliation Act (P.L. 104-193). The program established by the state estimated that approximately 4,500 individuals in Connecticut would be eligible for the new state benefit.

In June 1998, Congress acted to restore food stamp benefits to many of the legal immigrants who were excluded under P.L. 104-193. This restoration of federal benefits has resulted in the surplus of approximately \$3.4 million in this account for FY 99. The adjusted appropriation for FY 99 was \$5.6 million.

Old Age Assistance - \$1,785,174 / Aid to the Disabled - \$5,577,788

A surplus resulted in each of these entitlement accounts due to slower than anticipated caseload growth during FY 99. In the Old Age Assistance program, the caseload was projected to average 7,681 cases per month in FY 99. However, based on current data, the caseload averaged approximately 7,350 cases per month. This change, coupled with a lower cost per case, resulted in a \$1.8 million surplus.

Similarly, the Aid to the Disabled account was anticipated to average 19,915 clients per month. The actual caseload averaged 19,000 clients per month. Again, this change, coupled with a lower cost per case, resulted in a \$5.6 million surplus.

Temporary Family Assistance - \$8,271,078

The Temporary Family Assistance Program (TFA), (formerly Aid to Families with Dependent Children), has seen numerous changes in the past several years. Welfare reform efforts, at both the federal and the state levels, plus a growing economy, have resulted in a steadily declining caseload. The caseload has gone from more than 60,000 paid cases in 1996 to less than 33,000 currently. A surplus of \$8.3 million in the account in FY 99 was due to a continued caseload decline that was faster than anticipated in the budget.

Child Care Services - \$11,089,211

In FY 99, the department lapsed \$11.1 million of \$151.5 million appropriated in the Child Care Services accounts due to lower than anticipated caseloads. The caseload for Job Connection Child Care, which serves those engaged in approved job training or job search activities, was projected at a monthly average of 561 cases for the period, yet was lower than expected at an average of 486 cases. The largest segment of Child Care Services - Work Related Child Care - which serves those working while on time-limited assistance, was significantly below expected levels. Work Related Child Care was originally budgeted at a monthly average of 7,219 cases, yet was 25% lower, at an average of 5,344 cases for the period. The caseload for those who need child care after TANF expires and receive Transitional Child Care or Child Care Certificates was at projected levels.

Debt Service

\$25,865,303

The \$25.9 million lapse for debt service is due to savings of \$23.3 million for regular debt service and \$2.6 million for UConn 2000 debt service.

Approximately \$10.1 million of the \$23.3 million regular debt service lapse was due to differences between assumed and actual dates of bond issuance and the interest rates at which bonds were issued. The differences in dates of issuance include: (1) an unscheduled General Obligation (GO) bond refunding in July 1998, (2) postponement of a scheduled August 1998 issue to October 1998, and (3) elimination of a planned October 1998 issue for \$100 million. The actual amount issued in October 1998 was \$224.5 million at an interest rate of 5.25%, rather than the estimated \$220 million at 6% for the August 1998 issue.

An additional \$5.9 million was due to a reduction in arbitrage rebate payments. Arbitrage rebate is the penalty paid by the state to the federal government for borrowing bond funds at a lower rate and investing them at a higher rate. The reduction reflects lower differences between the interest rate at which bonds were issued and the rate at which they were invested.

Of the remaining lapse, \$3.1 million was due to elimination of the debt service reserve for the Nursing Home Loan Program and \$4 million was from a FY 98 carry-forward intended to pay nursing home debt service. Both amounts were lapsed because the outstanding revenue bonds for two nursing homes secured by special capital reserve funds, were cash defeased with FY 98 budget surplus.

Department of Economic and Community Development

\$2,080,327

The Department lapsed \$2.1 million or 8.5% of its available appropriation. This is due primarily to a \$1.2 million lapse in the Congregate Facilities Operation Costs grant, which resulted from several facilities becoming operational later than anticipated.

Board of Education and Services for the Blind**\$1,650,991**

The agency lapsed \$1.7 million or 10.7% of its available appropriations. The lapse in BESB primarily occurred in the Education of Handicapped Blind Children account (\$1,617,750) which received an adjusted appropriation of \$6,388,166 in FY 99. The lapse in this account was due to the agency's tightening of controls on reimbursements for costs related to blindness rather than general needs and requiring that all reimbursement requests be supported by Planning and Placement Team documentation. In addition, the agency has adopted a policy of reimbursing for the second half of the last school year and the first half of the current school year in any one state fiscal year. This differs from previous policy that attempted to coincide state fiscal year reimbursements with the school year. Since the school year usually ends after the state's books are closed in mid-June, this practice typically resulted in some portion of prior year costs being pushed into the next fiscal year. The institution of this policy in FY 99 resulted in a one-time reduction in costs that is reflected in this lapse.

Unemployment Compensation**\$1,366,837**

This account lapsed \$1.4 million or 33.3% of its available appropriations. Funding in this account was provided based on historical levels of layoffs; however, less funding was actually needed due in part to the no layoff clause in the SEBAC 5 collective bargaining agreement related to the Early Retirement Incentive Plan (ERIP).

Exhibit C

FY 99 General Fund Lapsing Appropriations of \$1 Million or More

	Adjusted Appropriation (in millions)	Lapse Amount (in millions)	% of Adjusted Appropriation
Agency			
Legislative Management	\$48.1	\$1.4	2.9%
Department of Revenue Services	239.9	1.3	0.5%
Office of Policy and Management	332.2	9.0	2.7%
Department of Public Safety	106.7	2.7	2.5%
Department of Labor	58.2	1.0	1.7%
Department of Economic and Community Development	24.6	2.1	8.5%
Department of Public Health	71.6	2.8	3.9%
Department of Mental Health and Addiction Services	276.0	7.2	2.6%
Department of Social Services	3,432.1	91.4	2.7%
Department of Education	1,755.9	5.3	0.3%
Board of Education and Services for the Blind	15.9	1.7	10.7%
Department of Correction	431.7	3.0	0.7%
Department of Children and Families	416.7	4.2	1.0%
Non-Functional			
Debt Service	874.3	25.9	3.0%
Unemployment Compensation	4.2	1.4	33.3%
Employers Social Security Tax	147.7	1.9	1.3%
State Employees Health Service Cost	236.0	2.8	1.2%
Retired Employees Health Service Cost	130.9	2.3	1.8%
All Others	2,494.5	10.8	0.4%
Total General Fund	\$11,097.2	\$178.2	1.6%

Exhibit D

General Fund Account Detail

	Budgeted Appropriations (Excluding Carry-Forwards) FY 99	Adjusted Appropriations (Including Carry-Forwards) FY 99	Actual Expenditures (Including Carry-Forwards) FY 99	Appropriations Lapsed FY 99	Appropriations Continued FY 99
Legislative					
Legislative Management					
Personal Services	24,798,459	26,895,552	25,596,009	454,450	845,093
Other Expenses	12,293,093	12,828,093	12,188,750	639,343	0
Equipment	1,985,480	2,150,480	2,108,815	41,665	0
Fiscal Reporting	0	62,902	9,991	52,911	0
Security Cameras	0	359,813	0	0	359,813
Building Access Systems	0	194,299	0	0	194,299
Energy Management System	0	215,888	0	0	215,888
Interim Committee Staffing	410,000	434,200	321,725	112,475	0
Interim Salary/Caucus Offices	350,000	372,925	372,924	1	0
Industrial Renewal Plan	180,000	180,000	180,000	0	0
Institute for Municipal Studies	125,000	125,000	125,000	0	0
Redistricting	75,000	1,575,000	97,396	77,604	1,400,000
Year 2000 Conversion	0	2,476,971	1,572,260	0	904,711
Interstate Conference Fund	236,850	236,850	229,635	7,215	0
Agency Total	40,453,882	48,107,973	42,802,505	1,385,664	3,919,804
Auditors of Public Accounts					
Personal Services	6,754,876	7,419,329	6,381,144	770,032	268,153
Other Expenses	496,550	686,550	392,420	54,130	240,000
Equipment	92,800	92,800	92,050	750	0
Agency Total	7,344,226	8,198,679	6,865,614	824,912	508,153
Commission on Status of Women					
Personal Services	348,660	374,446	342,076	16,584	15,786
Other Expenses	112,300	113,800	90,121	3,679	20,000
Equipment	1,750	250	0	250	0
Agency Total	462,710	488,496	432,197	20,513	35,786
Commission on Children					
Personal Services	294,065	319,965	298,385	0	21,580
Other Expenses	102,300	142,300	128,704	0	13,596
Equipment	7,750	7,750	1,381	0	6,369
Social Health Index	40,000	40,000	40,000	0	0
Agency Total	444,115	510,015	468,470	0	41,545
Commission on Latino and Puerto Rican Affairs					
Personal Services	207,055	213,975	196,741	6,314	10,920
Other Expenses	48,300	56,300	51,797	4,503	0
Equipment	5,250	5,250	4,682	568	0
Agency Total	260,605	275,525	253,220	11,385	10,920

	Budgeted Appropriations (Excluding Carry-Forwards) FY 99	Adjusted Appropriations (Including Carry-Forwards) FY 99	Actual Expenditures (Including Carry-Forwards) FY 99	Appropriations Lapsed FY 99	Appropriations Continued FY 99
African-American Affairs Commission					
Personal Services	146,676	155,101	126,680	19,996	8,425
Other Expenses	98,325	98,325	29,615	12,710	56,000
Equipment	7,500	7,500	0	7,500	0
Agency Total	252,501	260,926	156,295	40,206	64,425
Total Legislative	49,218,039	57,841,614	50,978,301	2,282,680	4,580,633
General Government					
Governor's Office					
Personal Services	1,817,924	1,844,250	1,668,778	99,344	76,128
Other Expenses	232,023	255,225	254,432	793	0
Equipment	2,000	2,000	2,000	0	0
New England Governor's Conference	112,000	138,600	138,600	0	0
National Governor's Association	89,292	89,292	89,285	7	0
Agency Total	2,253,239	2,329,367	2,153,095	100,144	76,128
Secretary of the State					
Personal Services	2,061,966	2,188,481	2,099,328	6,638	82,515
Other Expenses	1,159,696	1,159,696	966,356	43,340	150,000
Year 2000 Conversion	0	502,766	404,044	0	98,722
Agency Total	3,221,662	3,850,943	3,469,728	49,978	331,237
Lieutenant Governor's Office					
Personal Services	213,356	218,793	210,141	775	7,877
Other Expenses	24,408	31,348	31,238	110	0
Equipment	100	100	100	0	0
Agency Total	237,864	250,241	241,479	885	7,877
Elections Enforcement Commission					
Personal Services	591,863	643,217	609,679	9,862	23,676
Other Expenses	70,901	70,901	70,331	570	0
Agency Total	662,764	714,118	680,010	10,432	23,676
Ethics Commission					
Personal Services	560,871	665,403	605,641	649	59,113
Other Expenses	109,815	106,815	100,122	6,693	0
Lobbyist Electronic Filing Program	0	200,000	0	0	200,000
Agency Total	670,686	972,218	705,763	7,342	259,113
Freedom of Information Commission					
Personal Services	821,872	891,261	856,595	1,454	33,212
Other Expenses	104,009	104,009	103,519	490	0
Year 2000 Conversion	0	5,000	4,396	0	604
Agency Total	925,881	1,000,270	964,510	1,944	33,816

	Budgeted Appropriations (Excluding Carry-Forwards) FY 99	Adjusted Appropriations (Including Carry-Forwards) FY 99	Actual Expenditures (Including Carry-Forwards) FY 99	Appropriations Lapsed FY 99	Appropriations Continued FY 99
Judicial Selection Commission					
Personal Services	55,073	57,342	54,560	513	2,269
Other Expenses	20,120	45,120	20,247	0	24,873
Agency Total	75,193	102,462	74,807	513	27,142
State Properties Review Board					
Personal Services	277,040	294,915	283,121	335	11,459
Other Expenses	170,796	170,796	157,011	13,785	0
Equipment	1,300	1,300	1,200	100	0
Agency Total	449,136	467,011	441,332	14,220	11,459
State Treasurer					
Personal Services	2,689,728	2,809,705	2,531,740	157,988	119,977
Other Expenses	428,842	428,842	407,548	21,294	0
Equipment	4,700	4,700	4,700	0	0
Agency Total	3,123,270	3,243,247	2,943,988	179,282	119,977
State Comptroller					
Personal Services	13,083,494	13,611,287	12,800,015	283,479	527,793
Other Expenses	2,610,078	2,610,078	2,298,963	311,115	0
Equipment	5,000	5,000	5,000	0	0
Wellness - Pre-Natal Program	47,500	47,500	0	47,500	0
Death Benefits for State Employees	0	1,590	1,590	0	0
State Employees Retirement Data Base	438,914	1,301,282	381,624	57,290	862,368
Year 2000 Conversion	172,000	172,000	172,000	0	0
Governmental Accounting Standards Board	19,570	19,570	19,570	0	0
Agency Total	16,376,556	17,768,307	15,678,762	699,384	1,390,161
Department of Revenue Services					
Personal Services	41,218,243	44,149,608	42,044,634	437,001	1,667,973
Other Expenses	9,581,290	9,831,290	9,225,551	605,739	0
Equipment	1,100	1,100	0	1,100	0
Collection and Litigation Contingency Fund	187,500	337,500	111,261	226,239	0
Tax Rebate Administration	0	876,500	738,874	0	137,626
Tax Rebate Program	0	184,720,642	75,352,767	0	109,367,875
Agency Total	50,988,133	239,916,640	127,473,087	1,270,079	111,173,474
Division of Special Revenue					
Personal Services	6,494,974	7,607,392	7,154,775	125,098	327,519
Other Expenses	2,306,962	1,757,381	1,602,534	154,847	0
Equipment	2,600	2,600	2,600	0	0
Year 2000 Conversion	0	178,140	146,241	0	31,899
Agency Total	8,804,536	9,545,513	8,906,150	279,945	359,418

	Budgeted Appropriations (Excluding Carry-Forwards) FY 99	Adjusted Appropriations (Including Carry-Forwards) FY 99	Actual Expenditures (Including Carry-Forwards) FY 99	Appropriations Lapsed FY 99	Appropriations Continued FY 99
State Insurance and Risk Management Board					
Personal Services	101,189	120,420	99,401	13,788	7,231
Other Expenses	7,140,173	7,821,173	7,821,155	18	0
Equipment	1,500	5,500	5,224	276	0
Surety Bonds for State Officials & Employees	152,800	152,800	152,800	0	0
Agency Total	7,395,662	8,099,893	8,078,580	14,082	7,231
Gaming Policy Board					
Other Expenses	4,000	4,000	1,473	2,527	0
Office of Policy and Management					
Personal Services	12,114,442	12,634,709	11,919,060	220,382	495,267
Other Expenses	3,515,675	4,110,675	3,089,895	82,520	938,260
Equipment	8,000	8,000	8,000	0	0
Social Service Initiatives	0	217,537	19,352	123,185	75,000
Stadium Construction	0	100,000,000	100,000,000 [1]	0	0
Tall Ships	0	1,700,000	0	0	1,700,000
Litigation Settlement Costs	0	18,300,000	0	0	18,300,000
Automated Budget System & Database Link	163,478	163,478	67,652	95,826	0
Elderly Renter /Circuit Breaker Relief	0	8,000,000	7,702,100	297,900	0
Elderly Renter/Circuit Breaker Relief - Administration	0	476,137	81,091	395,046	0
Leadership, Education, Athletics In Partnership	1,750,000	1,750,000	1,750,000	0	0
Children and Youth Programs and Development	1,450,000	1,845,691	1,843,097	2,594	0
Cash Management Improvement Act	100	100	0	100	0
Justice Assistance Grants	3,419,934	4,982,557	3,292,454	0	1,690,103
Neighborhood Youth Centers	1,774,080	1,774,080	1,774,080	0	0
Private Providers	13,000,000	288,500	0	288,500	0
High Efficiency Licensing Program In Partnership	500,000	500,000	13,107	0	486,893
Year 2000 Conversion	0	33,350	29,856	0	3,494
Regional Planning Agencies	650,000	650,000	650,000	0	0
Tax Relief for Elderly Renters	11,100,000	11,100,000	10,972,022	127,978	0
Justice Assistance Grants	0	85,623	85,623	0	0
Drug Enforcement Program	976,749	1,796,477	1,796,477	0	0
Art Grants	0	6,000,000	0	0	6,000,000
Reimburse Property Tax - Disability Exemption	419,021	392,021	386,482	5,539	0
Distressed Municipalities	3,850,000	3,877,000	3,876,124	876	0
Property Tax Relief Elderly Circuit Breaker	27,500,000	27,500,000	21,982,098	5,517,902	0
Property Tax Relief Elderly Freeze Program	6,000,000	6,000,000	5,317,461	682,539	0
Property Tax Relief for Veterans	10,100,000	8,100,000	8,022,979	77,021	0
Justice Assistance Grants	0	46,477	46,477	0	0
Drug Enforcement Program	13,548,337	19,487,477	13,533,280	0	5,954,197
Pilot- New Manufacturing Machinery and Equipment	67,400,000	69,400,000	68,341,985	1,058,015	0
Interlocal Agreements	200,000	200,000	12,500	0	187,500
Capital City Economic Development Authority	750,000	750,000	750,000	0	0
One-Time Local Capital Improvement Program Grants	0	20,000,000	0	0	20,000,000
Agency Total	180,189,816	332,169,889	267,363,252	8,975,923	55,830,714

	Budgeted Appropriations (Excluding Carry-Forwards) FY 99	Adjusted Appropriations (Including Carry-Forwards) FY 99	Actual Expenditures (Including Carry-Forwards) FY 99	Appropriations Lapsed FY 99	Appropriations Continued FY 99
Department of Veterans Affairs					
Personal Services	20,042,416	20,590,537	19,738,756	134,024	717,757
Other Expenses	5,780,364	5,950,000	5,855,918	94,082	0
Equipment	2,000	2,000	1,982	18	0
Year 2000 Conversion	0	206,613	121,825	0	84,788
Women's Veterans Memorial	0	50,000	0	0	50,000
World War II Memorial	0	238,000	0	0	238,000
Agency Total	25,824,780	27,037,150	25,718,481	228,124	1,090,545
Department of Administrative Services					
Personal Services	15,636,057	16,262,619	15,344,778	291,279	626,562
Other Expenses	3,076,441	3,076,441	3,074,865	1,576	0
Equipment	10,000	10,000	10,000	0	0
Labor - Management Fund	0	492,164	3,158	0	489,006
Loss Control Risk Management	705,000	705,000	543,284	161,716	0
Employees Review Board	64,000	64,000	44,162	19,838	0
Placement and Training Fund	0	3,199,126	339,123	0	2,860,003
Quality of Work Life Fund	0	469,784	261,026	0	208,758
Refunds of Collections	45,000	175,000	146,496	28,504	0
Workers' Compensation Administrator	5,419,328	5,419,328	5,371,798	47,530	0
Hospital Billing System	1,426,252	3,654,641	650,931	3,710	3,000,000
Automated Personnel System	1,803,103	1,553,103	1,386,622	166,481	0
New Collections Projects	300,000	300,000	262,585	8,940	28,475
Year 2000 Conversion	0	979,410	410,204	0	569,206
Agency Total	28,485,181	36,360,616	27,849,032	729,574	7,782,010
Department of Information Technology					
Personal Services	1,737,280	1,420,076	1,307,994	51,965	60,117
Other Expenses	625,678	1,045,678	1,033,053	12,625	0
E-Forms Licenses	0	1,700,000	0	0	1,700,000
Year 2000 Conversion	0	32,868,090	3,040,600	0	29,827,490
Agency Total	2,362,958	37,033,844	5,381,647	64,590	31,587,607
Department of Public Works					
Personal Services	4,435,043	5,317,580	4,923,923	154,781	238,876
Other Expenses	15,781,713	16,631,713	16,449,423	182,290	0
Thames River Campus Management	1,556,000	1,356,000	1,333,363	6,436	16,201
Fairfield Hills Campus Management	1,500,000	1,270,000	1,268,340	245	1,415
Norwich Hospital Campus Management	500,000	500,000	472,140	2,547	25,313
Minor Capital Improvements	2,000,000	2,443,875	2,273,175	0	170,700
Management Services	0	9,290	0	0	9,290
Capital Projects Revolving Fund Deficit Payment	0	6,000,000	6,000,000	0	0
Rents & Moving	6,400,000	6,080,000	5,953,104	126,896	0
Capitol Day Care Center	109,250	109,250	99,232	10,018	0
Facilities Design Expenses	1,940,000	2,428,747	2,357,227	2,773	68,747
Seaside Regional Center Management	600,000	120,000	97,129	15,571	7,300

	Budgeted Appropriations (Excluding Carry-Forwards) FY 99	Adjusted Appropriations (Including Carry-Forwards) FY 99	Actual Expenditures (Including Carry-Forwards) FY 99	Appropriations Lapsed FY 99	Appropriations Continued FY 99
Mystic Education Center Management	425,000	515,000	507,972	3,907	3,121
Altobello Campus Management	405,000	275,000	275,000	0	0
Year 2000 Conversion	0	220,000	75,505	0	144,495
Agency Total	35,652,006	43,276,455	42,085,533	505,464	685,458
Attorney General					
Personal Services	20,243,750	21,319,811	20,199,588	244,162	876,061
Other Expenses	1,089,338	1,139,338	1,138,317	1,021	0
Equipment	96,992	571,992	571,992	0	0
Sheff Vs. O'Neill	100,000	100,000	44,768	55,232	0
Police Wiretap Case	1,100,000	625,000	7,699	117,301	500,000
Year 2000 Conversion	0	650,000	639,371	0	10,629
Agency Total	22,630,080	24,406,141	22,601,735	417,716	1,386,690
Office of the Claims Commissioner					
Personal Services	183,811	192,548	184,172	1,139	7,237
Other Expenses	32,903	32,903	21,392	11,511	0
Adjudicated Claims	95,000	120,000	91,153	28,847	0
Agency Total	311,714	345,451	296,717	41,497	7,237
Division of Criminal Justice					
Personal Services	26,114,138	29,002,374	27,433,617	433,886	1,134,871
Other Expenses	2,507,261	2,672,261	2,416,600	255,661	0
Forensic Sex Evidence Exams	320,000	280,000	239,127	40,873	0
Witness Protection	30,000	291,141	195,456	95,685	0
Training and Education	46,800	68,800	64,789	4,011	0
Expert Witnesses	176,188	136,188	123,525	12,663	0
Medicaid Fraud Control	380,000	380,000	369,663	10,337	0
Agency Total	29,574,387	32,830,764	30,842,777	853,116	1,134,871
Criminal Justice Commission					
Other Expenses	1,195	1,195	472	723	0
Total General Government	420,220,699	821,725,735	593,952,410	14,447,484	213,325,841
Regulation and Protection					
Department of Public Safety					
Personal Services	77,234,786	79,921,663	75,040,177	2,194,609	2,686,877
Other Expenses	12,661,008	12,661,008	12,245,283	415,725	0
Equipment	200,428	200,428	200,428	0	0
Stress Reduction	53,354	53,354	53,163	191	0
Trooper Trainee Class	1,876,550	1,876,550	1,799,558	400	76,592
Fleet Purchase	6,515,326	6,515,326	6,511,879	3,447	0
Sex Offender Registry	323,142	323,142	211,841	29,111	82,190

	Budgeted Appropriations (Excluding Carry-Forwards) FY 99	Adjusted Appropriations (Including Carry-Forwards) FY 99	Actual Expenditures (Including Carry-Forwards) FY 99	Appropriations Lapsed FY 99	Appropriations Continued FY 99
Sex Offender Registration Enforcement Unit	224,463	224,463	224,463	0	0
Workers' Compensation Claims	2,519,497	2,519,497	2,450,531	68,966	0
Year 2000 Conversion	0	2,371,886	22,117	0	2,349,769
Civil Air Patrol	38,692	38,692	38,692	0	0
Agency Total	101,647,246	106,706,009	98,798,132	2,712,449	5,195,428
Police Officer Standards and Training Council					
Personal Services	1,471,881	1,527,570	1,324,810	147,071	55,689
Other Expenses	809,156	923,536	803,411	45,125	75,000
Equipment	10,000	37,620	37,620	0	0
Alzheimer's Association	40,000	40,000	40,000	0	0
Agency Total	2,331,037	2,528,726	2,205,841	192,196	130,689
Board of Firearms Permit Examiners					
Personal Services	114,545	116,660	67,674	46,871	2,115
Other Expenses	24,007	24,007	15,954	8,053	0
Agency Total	138,552	140,667	83,628	54,924	2,115
Military Department					
Personal Services	2,459,032	2,881,015	2,696,614	56,021	128,380
Other Expenses	2,249,354	2,216,354	2,028,114	188,240	0
Equipment	30,000	30,000	30,000	0	0
Firing Squads	205,000	258,000	247,801	10,199	0
Year 2000 Conversion	0	2,000	2,000	0	0
Agency Total	4,943,386	5,387,369	5,004,529	254,460	128,380
Commission on Fire Prevention and Control					
Personal Services	1,076,585	1,164,450	1,112,161	10,766	41,523
Other Expenses	494,834	494,834	468,156	26,678	0
Equipment	20,000	20,000	20,000	0	0
Payments to Volunteer Fire Companies	220,000	220,000	219,800	200	0
Connecticut State Firemen's Association	30,000	30,000	30,000	0	0
Agency Total	1,841,419	1,929,284	1,850,117	37,644	41,523
Department of Motor Vehicles					
Year 2000 Conversion	0	6,316,558	1,617,563	0	4,698,995
Agency Total	0	6,316,558	1,617,563	0	4,698,995
Department of Consumer Protection					
Personal Services	8,558,059	9,104,376	8,597,156	177,741	329,479
Other Expenses	1,059,078	1,184,078	1,067,557	116,521	0
Equipment	3,000	3,000	2,805	195	0
Electric Restructuring	150,000	150,000	0	150,000	0
Year 2000 Conversion	0	223,999	0	0	223,999
Agency Total	9,770,137	10,665,453	9,667,518	444,457	553,478

	Budgeted Appropriations (Excluding Carry-Forwards) FY 99	Adjusted Appropriations (Including Carry-Forwards) FY 99	Actual Expenditures (Including Carry-Forwards) FY 99	Appropriations Lapsed FY 99	Appropriations Continued FY 99
Department of Labor					
Personal Services	8,015,765	8,447,832	7,920,507	217,061	310,264
Other Expenses	984,976	1,089,976	998,161	91,815	0
Equipment	50,000	50,000	50,000	0	0
Vocational and Manpower Training	1,925,300	1,925,300	1,925,300	0	0
Displaced Homemakers	419,365	419,365	419,365	0	0
Regional Workforce Development Boards	450,000	450,000	450,000	0	0
Community Employment Incentive Program	3,684,828	3,579,828	2,914,530	665,298	0
Summer Youth Employment	800,314	800,314	751,182	49,132	0
One-Stop Employment Services	16,000,000	24,488,168	17,005,769	0	7,482,399
Non-Traditional Occupational Training	325,000	431,336	431,336	0	0
Machine Tool Job Training	125,000	166,956	166,956	0	0
Customized Job Training Program	0	588,784	588,784	0	0
Welfare-To-Work Grant Program	12,005,943	12,005,943	5,750,146	0	6,255,797
Year 2000 Conversion	0	3,770,536	2,679,559	0	1,090,977
Agency Total	44,786,491	58,214,338	42,051,595	1,023,306	15,139,437
Office of Victim Advocate					
Personal Services	80,000	86,044	0	80,000	6,044
Other Expenses	12,500	12,500	3,195	9,305	0
Equipment	7,500	7,500	0	7,500	0
Agency Total	100,000	106,044	3,195	96,805	6,044
Commission on Human Rights and Opportunities					
Personal Services	5,508,333	5,541,217	5,059,847	288,486	192,884
Other Expenses	646,747	806,747	772,807	33,940	0
Martin Luther King, Jr. Commission	5,230	5,230	1,855	3,375	0
Human Rights Referees	0	25,000	0	0	25,000
Agency Total	6,160,310	6,378,194	5,834,509	325,801	217,884
Protection and Advocacy for Persons With Disabilities					
Personal Services	1,945,181	2,021,552	1,839,950	105,231	76,371
Other Expenses	428,573	428,573	391,875	36,698	0
Year 2000 Conversion	0	30,000	12,666	0	17,334
Agency Total	2,373,754	2,480,125	2,244,491	141,929	93,705
Office of the Child Advocate					
Personal Services	306,590	310,629	287,038	9,552	14,039
Other Expenses	35,600	75,600	57,761	17,839	0
Agency Total	342,190	386,229	344,799	27,391	14,039
Total Regulation and Protection	174,434,522	201,238,996	169,705,917	5,311,362	26,221,717

	Budgeted Appropriations (Excluding Carry-Forwards) FY 99	Adjusted Appropriations (Including Carry-Forwards) FY 99	Actual Expenditures (Including Carry-Forwards) FY 99	Appropriations Lapsed FY 99	Appropriations Continued FY 99
Conservation and Development					
Department of Agriculture					
Personal Services	3,035,940	3,267,338	3,106,793	39,075	121,470
Other Expenses	575,524	575,524	575,524	0	0
Oyster Program	100,000	100,000	100,000	0	0
Ct Seafood Advisory Council	50,000	50,000	50,000	0	0
Food Council	50,000	50,000	50,000	0	0
Wic Program for Fresh Produce for Seniors	44,611	70,220	70,220	0	0
Collection of Agricultural Statistics	1,200	1,200	1,200	0	0
Tuberculosis and Brucellosis Indemnity	1,000	1,000	0	1,000	0
Exhibits and Demonstrations	5,600	5,600	5,600	0	0
Connecticut Grown Product Promotion	15,000	15,000	15,000	0	0
Wic Coupon Program for Fresh Produce	130,371	104,762	76,992	27,770	0
Agency Total	4,009,246	4,240,644	4,051,329	67,845	121,470
Department of Environmental Protection					
Personal Services	25,774,238	28,230,594	27,014,198	261,550	954,846
Other Expenses	3,189,314	3,139,314	3,139,293	21	0
Equipment	340,360	340,360	207,876	0	132,484
Mosquito Control	300,000	304,392	300,000	0	4,392
Aerial Photo Survey	0	300,000	0	0	300,000
Laboratory Fees	280,076	280,076	280,076	0	0
Dam Maintenance	111,038	114,239	111,038	0	3,201
Emergency Spill Response	6,151,505	7,295,259	6,604,224	0	691,035
Long Island Sound Research Fund	1,000	1,000	1,000	0	0
Greenways	100,000	138,247	125,677	12,570	0
Emergency Response Commission	109,844	113,980	109,844	0	4,136
Beardsley Park and Zoo	450,000	450,000	450,000	0	0
Electric Restructuring	75,000	75,000	44,500	0	30,500
Year 2000 Conversion	0	2,036,615	207,289	0	1,829,326
Soil Conservation Districts	1,000	1,000	1,000	0	0
U. S. Geological Survey - Geology Investigations	47,000	47,000	47,000	0	0
U. S. Geological Survey - Hydrological Study	119,800	119,800	119,800	0	0
New England Interstate Water Pollution Commission	8,400	8,400	8,400	0	0
Northeast Interstate Forest Fire Compact	2,000	2,000	2,000	0	0
Connecticut River Valley Flood Control Commission	39,400	39,400	38,890	510	0
Thames River Valley Flood Control Commission	50,200	50,200	48,281	1,919	0
Environmental Review Teams	1,000	1,000	1,000	0	0
U.S. Geological Survey - Quality Stream Monitoring	166,000	166,000	166,000	0	0
Agency Total	37,317,175	43,253,876	39,027,386	276,570	3,949,920
Council on Environmental Quality					
Personal Services	101,918	111,744	104,567	3,106	4,071
Other Expenses	6,470	6,470	6,470	0	0
Agency Total	108,388	118,214	111,037	3,106	4,071

	Budgeted Appropriations (Excluding Carry-Forwards) FY 99	Adjusted Appropriations (Including Carry-Forwards) FY 99	Actual Expenditures (Including Carry-Forwards) FY 99	Appropriations Lapsed FY 99	Appropriations Continued FY 99
Connecticut Historical Commission					
Personal Services	811,095	830,417	777,672	24,723	28,022
Other Expenses	87,515	96,215	96,215	0	0
Equipment	2,000	2,000	2,000	0	0
Freedom Trail	40,000	40,000	40,000	0	0
Rochambeau's Route	30,000	30,000	30,000	0	0
Agency Total	970,610	998,632	945,887	24,723	28,022
Department of Economic and Community Development					
Personal Services	5,611,807	6,072,932	5,749,942	56,188	266,802
Other Expenses	3,209,937	3,209,937	2,913,650	296,287	0
Elderly Rental Registry and Counselors	550,000	550,000	368,257	181,743	0
Industry Cluster Initiative	3,000,000	3,000,000	2,817,073	82,927	100,000
Film Commission	150,000	150,000	141,255	8,745	0
Amistad Project	0	300,000	0	0	300,000
Freedom Trail	100,000	100,000	100,000	0	0
Economic Information Systems	80,000	80,000	77,224	2,021	755
Connecticut Science Park	70,000	70,000	70,000	0	0
Year 2000 Conversion	0	78,360	0	0	78,360
Entrepreneurial Centers	215,000	215,000	215,000	0	0
Institute for Community and Regional Development	60,000	60,000	60,000	0	0
Independent Living Handicapped Persons	56,250	56,250	56,250	0	0
Congregate Facilities Operation Costs	3,465,540	4,110,111	2,854,563	1,255,548	0
Housing Assistance and Counseling Program	75,000	75,000	75,000	0	0
Elderly Congregate Rent Subsidy	1,296,499	1,296,499	1,129,752	166,747	0
Tax Abatement	2,243,276	2,243,276	2,243,276	0	0
Payment In Lieu of Taxes	2,900,000	2,900,000	2,869,879	30,121	0
Endeavor	50,000	50,000	50,000	0	0
Agency Total	23,133,309	24,617,365	21,791,121	2,080,327	745,917
Connecticut Agricultural Experiment Station					
Personal Services	4,041,901	4,418,070	4,198,740	52,689	166,641
Other Expenses	408,300	408,300	397,865	10,435	0
Equipment	750	750	750	0	0
Mosquito Control	203,000	203,000	201,498	1,502	0
Year 2000 Conversion	0	42,000	34,390	0	7,610
Agency Total	4,653,951	5,072,120	4,833,243	64,626	174,251
Total Conservation and Development	70,192,679	78,300,851	70,760,003	2,517,197	5,023,651

	Budgeted Appropriations (Excluding Carry-Forwards) FY 99	Adjusted Appropriations (Including Carry-Forwards) FY 99	Actual Expenditures (Including Carry-Forwards) FY 99	Appropriations Lapsed FY 99	Appropriations Continued FY 99
Health and Hospitals					
Department of Public Health					
Personal Services	25,838,526	28,456,038	24,776,439	2,073,435	1,606,164
Other Expenses	6,018,000	6,499,500	5,464,144	217,469	817,887
Equipment	2,000	76,000	76,000	0	0
Young Parents Program	201,250	201,250	201,250	0	0
Pregnancy Healthline	112,100	112,100	112,100	0	0
Needle and Syringe Exchange Program	404,700	404,700	404,700	0	0
Community Services Support for Aids Victims	207,223	207,223	207,223	0	0
Teen Pregnancy Prevention Campaign	50,000	50,000	50,000	0	0
Children's Health Initiative	1,061,091	1,061,091	1,061,091	0	0
Childhood Lead Poisoning Prevention	255,450	255,450	255,327	123	0
Aids Services	3,404,715	3,404,715	3,399,859	4,856	0
Liability Coverage for Volunteer Retired Physicians	4,500	4,500	4,500	0	0
Breast and Cervical Cancer Detection and Treatment	1,875,923	1,875,923	1,875,923	0	0
Services for Children Affected By Aids	275,000	275,000	274,999	1	0
Children With Special Health Care Needs	700,000	700,000	700,000	0	0
Medicaid Administration	3,025,737	3,135,501	2,654,587	371,150	109,764
Year 2000 Conversion	0	2,999,942	889,366	0	2,110,576
Community Health Services	6,225,433	6,225,433	6,174,989	50,444	0
Emergency Medical Services Training	35,000	35,000	35,000	0	0
Emergency Medical Services Regional Offices	378,110	378,110	378,110	0	0
Rape Crisis	444,120	444,120	444,120	0	0
X-Ray Screening and Tuberculosis Care	597,392	597,392	597,392	0	0
Genetic Diseases Programs	677,357	677,357	610,690	0	66,667
Loan Repayment Program	194,500	516,789	160,578	0	356,211
Immunization Services	4,330,520	4,330,520	4,330,520	0	0
Local and District Departments of Health	3,945,304	3,945,304	3,879,607	65,697	0
Venereal Disease Control	222,275	222,275	221,507	768	0
School Based Health Clinics	4,462,129	4,462,129	4,462,119	10	0
Agency Total	64,948,355	71,553,362	63,702,140	2,783,953	5,067,269
Office of Health Care Access					
Personal Services	1,892,332	1,965,889	1,762,684	129,648	73,557
Other Expenses	461,368	461,368	358,466	102,902	0
Equipment	500	500	0	500	0
Administration - Uncompensated Care	180,000	186,014	133,200	46,800	6,014
Distressed Hospital Evaluation	0	1,000,000	0	0	1,000,000
Year 2000 Conversion	0	297,600	144,420	0	153,180
Distressed Hospitals Loan Program	0	8,000,000	0	0	8,000,000
Agency Total	2,534,200	11,911,371	2,398,770	279,850	9,232,751

	Budgeted Appropriations (Excluding Carry-Forwards) FY 99	Adjusted Appropriations (Including Carry-Forwards) FY 99	Actual Expenditures (Including Carry-Forwards) FY 99	Appropriations Lapsed FY 99	Appropriations Continued FY 99
Office of the Medical Examiner					
Personal Services	2,831,589	2,926,536	2,773,192	40,899	112,445
Other Expenses	1,280,120	1,404,620	1,403,046	1,574	0
Equipment	2,000	2,000	2,000	0	0
Year 2000 Conversion	0	239,500	54,578	0	184,922
Agency Total	4,113,709	4,572,656	4,232,816	42,473	297,367
Department of Mental Retardation					
Personal Services	225,633,529	254,146,435	244,735,306	150,504	9,260,625
Other Expenses	20,044,915	21,944,915	21,905,901	14,014	25,000
Equipment	2,000	2,000	1,447	553	0
Human Resource Development	385,600	385,600	382,606	2,994	0
Family Support Grants	969,000	969,000	968,731	269	0
Pilot Program for Client Services	2,019,926	2,079,396	2,058,809	0	20,587
Clinical Services	5,223,193	5,393,843	5,389,279	4,564	0
Early Intervention	12,389,562	12,816,458	12,686,601	2,961	126,896
Temporary Support Services	200,000	200,000	199,973	27	0
Community Temporary Support Services	100,000	100,000	100,000	0	0
Community Respite Care Programs	400,000	400,000	400,000	0	0
Workers' Compensation	9,719,258	9,269,758	10,180,178	-910,420	0
Year 2000 Conversion	0	518,170	365,977	0	152,193
Rent Subsidy Program	2,256,183	2,305,683	2,285,966	19,717	0
Respite Care	1,667,641	1,667,641	1,667,641	0	0
Family Reunion Program	140,000	140,000	119,976	20,024	0
Employment Opportunities and Day Services	85,562,343	87,469,846	86,332,838	13,013	1,123,995
Family Placements	1,655,597	1,704,343	1,687,468	0	16,875
Emergency Placements	3,271,349	3,367,666	3,334,322	0	33,344
Community Residential Services	181,054,139	188,615,111	183,741,279	0	4,873,832
Pilot Program for Cooperative Placements	750,000	757,500	743,895	6,105	7,500
Dental Services	0	20,536	2,341	12,833	5,362
Agency Total	553,444,235	594,273,901	579,290,534	-662,842	15,646,209
Department of Mental Health and Addiction Services					
Personal Services	52,059,386	72,030,855	63,375,220	1,208,872	7,446,763
Other Expenses	8,914,752	11,014,752	9,764,193	1,250,559	0
Equipment	10,000	10,000	10,000	0	0
Supportive Housing	1,017,736	1,052,473	1,034,735	7,317	10,421
Pre-Trial Drug Educational System	1,757,000	757,000	548,289	208,711	0
Pre-Trial Alcohol Education System	1,240,738	1,240,738	1,101,845	138,893	0
Managed Service System	9,163,067	9,434,170	8,664,987	674,785	94,398
Drug Treatment for Schizophrenia	1,743,195	2,043,195	2,024,729	18,466	0
Legal Services	403,587	416,183	412,062	0	4,121
Connecticut Mental Health Center	7,172,564	7,172,564	7,172,564	0	0
Capitol Region Mental Health Center	363,781	363,781	356,080	7,701	0
Professional Services	5,390,371	4,840,371	4,479,070	361,301	0
Regional Action Councils	736,659	763,260	750,992	4,711	7,557

	Budgeted Appropriations (Excluding Carry-Forwards) FY 99	Adjusted Appropriations (Including Carry-Forwards) FY 99	Actual Expenditures (Including Carry-Forwards) FY 99	Appropriations Lapsed FY 99	Appropriations Continued FY 99
General Assistance Projects	43,956,694	48,873,670	46,095,386	0	2,778,284
Substance Abuse Treatment Programs	20,322	20,868	20,838	30	0
Chronic Gambler's Treatment & Rehabilitation	100,000	100,000	100,000	0	0
Workers' Compensation Claims	5,362,523	5,962,523	5,728,588	233,935	0
Eastern Region	2,027,156	2,428,064	2,235,132	192,932	0
Nursing Home Screening Program	509,387	509,387	439,064	70,323	0
Special Population	2,850,000	3,050,000	1,437,011	1,612,989	0
Civil Commitment	150,000	150,000	32,478	6,805	110,717
Grants for Substance Abuse Services	24,718,430	25,018,870	23,581,121	1,161,327	276,422
Grants for Vocational Services	393,742	404,271	402,842	1,429	0
Governor's Partnership to Protect Ct's Workforce	450,000	450,000	450,000	0	0
Mental Health Service Grants	63,059,862	65,363,945	64,672,420	39,213	652,312
Employment Opportunities	8,685,715	8,995,281	8,876,218	30,001	89,062
Year 2000 Conversion	0	3,519,520	1,893,229	0	1,626,291
Agency Total	242,256,667	275,985,741	255,659,093	7,230,300	13,096,348
Psychiatric Security Review Board					
Personal Services	205,139	213,268	200,034	5,105	8,129
Other Expenses	49,660	49,660	45,668	3,992	0
Year 2000 Conversion	0	30,000	0	0	30,000
Agency Total	254,799	292,928	245,702	9,097	38,129
Total Health and Hospitals	867,551,965	958,589,959	905,529,055	9,682,831	43,378,073
Transportation					
Department of Transportation					
Year 2000 Conversion	0	3,113,022	894,326	0	2,218,696
Agency Total	0	3,113,022	894,326	0	2,218,696
Total Transportation	0	3,113,022	894,326	0	2,218,696
Human Services					
Department of Social Services					
Personal Services	98,776,820	102,738,078	95,668,741	3,108,079	3,961,258
Other Expenses	39,208,725	40,640,225	38,565,354	2,074,871	0
Equipment	500	500	0	500	0
Federal Nursing Home Reform Act	0	5,719	280	5,439	0
Financial Management Reporting	25,000	855,987	0	0	855,987
State Children's Health Initiative	2,440,359	2,440,359	2,340,359	100,000	0
Job Connection Program	23,441	0	0	0	0
Independent Living Center Grant Administration	18,201	23,441	23,441	0	0
Anti-Hunger Programs	210,000	218,201	218,201	0	0

	Budgeted Appropriations (Excluding Carry-Forwards) FY 99	Adjusted Appropriations (Including Carry-Forwards) FY 99	Actual Expenditures (Including Carry-Forwards) FY 99	Appropriations Lapsed FY 99	Appropriations Continued FY 99
Blood Tests In Paternity Actions	3,950,000	210,000	139,295	70,705	0
State Food Assistance to Legal Non-Citizens	496,300	5,586,711	2,186,948	3,399,763	0
Day Care Projects	200,000	496,300	496,300	0	0
Commission on Aging	30,341,701	207,514	199,057	943	7,514
Privatize Data Processing Services	14,441,152	35,798,313	31,379,969	227,732	4,190,612
Husky Program	0	22,018,691	3,027,353	18,991,338	0
Year 2000 Conversion	0	7,607,608	6,494,047	0	1,113,561
Vocational Rehabilitation	6,794,000	6,794,000	6,794,000	0	0
Medicaid	2,064,584,600	2,119,030,691	1,997,914,879	25,815,812	95,300,000
Old Age Assistance	33,187,959	33,250,742	31,402,785	1,785,174	62,783
Aid to the Blind	617,489	618,866	567,999	49,490	1,377
Aid to the Disabled	69,569,508	69,672,794	63,991,720	5,577,788	103,286
Temporary Assistance to Families	24,258,319	24,258,319	24,258,319	0	0
Temporary Assistance to Families - Tanf	196,539,370	196,539,370	188,268,292	8,271,078	0
Adjustment of Recoveries	150,000	100,000	81,391	18,609	0
Emergency Energy Assistance	500	500	0	500	0
Food Stamp Training Expenses	130,800	130,800	3,350	127,450	0
Ct Pharmaceutical Assistance to the Elderly	29,974,745	33,224,745	33,031,372	193,373	0
Maternal and Infant Health Services	2,865,300	2,865,300	2,645,858	219,442	0
Dmhas Medicaid Disproportionate Share	191,000,000	191,000,000	191,000,000	0	0
Connecticut Home Care Program	14,601,835	15,251,835	15,248,416	3,419	0
Human Resource Development - Hispanic Programs	106,746	106,746	106,745	1	0
Services to the Elderly	3,830,681	3,970,681	3,785,744	184,937	0
Hospital Assistance Program	0	5,761,422	5,761,407	0	15
Safety Net Services	5,000,000	4,800,000	3,675,494	1,124,506	0
Transportation for Employment Independence	2,205,000	2,580,000	1,779,177	800,823	0
Opportunity Industrial Centers	314,116	314,116	313,979	137	0
Transitional Rental Assistance	2,500,000	5,400,000	4,648,353	751,647	0
Refunds of Collections	1,400,000	1,985,000	1,915,738	69,262	0
Energy Assistance	6,800,100	2,721,232	1,007,345	1,713,887	0
Services for Persons With Disabilities	6,948,566	7,330,366	7,087,719	242,647	0
Child Care Services	6,002,584	6,002,584	6,002,584	0	0
Child Care Services-Tanf/Codbg	145,592,848	132,892,848	117,803,637	11,089,211	4,000,000
Residences for Persons With Aids	1,995,800	1,995,800	1,995,800	0	0
Supplemental Nutrition Assistance	91,904	91,904	91,904	0	0
Housing/Homeless Services	7,951,793	7,951,793	7,951,793	0	0
Employment Opportunities	881,376	881,376	881,376	0	0
Human Resource Development	3,707,963	3,707,963	3,707,963	0	0
Child Day Care	12,423,543	12,423,543	12,423,542	1	0
Independent Living Centers	593,845	593,845	593,845	0	0
Aids Drug Assistance	592,000	592,000	592,000	0	0
Shelter Services for Victims of Household Abuse	27,000	27,000	27,000	0	0
Disproportionate Share/Emergency Assistance	220,000,000	214,238,594	214,238,593	1	0
State Administered General Assistance	73,203,490	76,403,490	74,406,863	1,996,627	0
School Readiness	7,596,795	8,787,749	6,138,513	2,649,236	0
Connecticut Children's Medical Center	8,000,000	6,300,000	6,300,000	0	0

	Budgeted Appropriations (Excluding Carry-Forwards) FY 99	Adjusted Appropriations (Including Carry-Forwards) FY 99	Actual Expenditures (Including Carry-Forwards) FY 99	Appropriations Lapsed FY 99	Appropriations Continued FY 99
Community Services	570,000	570,000	570,000	0	0
Indigent Immigrant Medical Services	750,000	750,000	0	750,000	0
Child Day Care Local Governments	5,862,659	9,941,527	9,941,527	0	0
Human Resource Development - Local Governments	239,385	239,385	239,049	336	0
Human Resource Development - Hispanic Programs	11,678	11,678	11,678	0	0
Teenage Pregnancy Prevention Block Grant	1,000,000	1,000,000	999,698	302	0
Vocational Rehabilitation Transition Plan	39,000	39,000	39,000	0	0
Traumatic Brain Injury	54,500	109,000	109,000	0	0
Agency Total	3,350,699,996	3,432,106,251	3,231,094,792	91,415,066	109,596,393
Total Human Services	3,350,699,996	3,432,106,251	3,231,094,792	91,415,066	109,596,393

Education, Museums, Libraries

Department of Education

Personal Services	85,573,371	92,231,046	88,796,019	177,352	3,257,675
Other Expenses	10,769,699	10,969,699	10,954,972	14,727	0
Train Paraprofessionals for Teaching	372,000	372,000	317,234	54,766	0
Institutes for Educators	728,000	728,000	295,200	32,800	400,000
Basic Skills Exam Teachers In Training	1,190,000	1,190,000	1,071,000	119,000	0
Teachers' Standards Implementation Program	3,945,206	3,945,206	3,549,795	45,411	350,000
Early Childhood Program	19,780,000	21,780,000	21,779,921	79	0
Amer-I-Can Pilot	0	350,000	350,000	0	0
Admin - Early Reading Success	0	196,000	176,294	19,706	0
Admin - Magnet Schools	0	175,000	156,962	18,038	0
Admin - Extended School Hours	0	75,022	67,520	7,502	0
Adult Education Administration	0	736,700	663,030	73,670	0
Development of Mastery Exams - Grades 4, 6 and 8	5,150,954	5,150,954	5,067,354	83,600	0
Admin - Interdistrict Cooperative Program	0	110,850	99,765	11,085	0
Admin - Library Book Grants to Schools	0	30,000	28,120	1,880	0
Primary Mental Health	294,000	294,000	264,600	29,400	0
Hartford Public School System Audit	0	600,000	0	0	600,000
Admin - Youth Service Bureaus	0	55,268	49,741	5,527	0
Adult Education Action	300,000	300,000	270,000	30,000	0
Vocational Technical School Textbooks	500,000	500,000	499,957	43	0
Repair of Instructional Equipment	437,500	237,500	235,538	1,962	0
Minor Repairs to Plant	332,500	332,500	331,425	1,075	0
Connecticut Pre-Engineering Program	300,000	300,000	300,000	0	0
Contracting Instructional Tv Services	209,000	209,000	209,000	0	0
Connecticut Writing Project	100,000	100,000	100,000	0	0
Jobs for Connecticut Graduates	275,000	275,000	275,000	0	0
Science and Technology - Jason Project	150,000	150,000	150,000	0	0
Hartford Public School Monitors	600,000	600,000	580,000	20,000	0
Year 2000 Conversion	0	1,108,080	273,543	0	834,537
American School for the Deaf	6,601,558	6,601,558	6,601,558	0	0

	Budgeted Appropriations (Excluding Carry-Forwards) FY 99	Adjusted Appropriations (Including Carry-Forwards) FY 99	Actual Expenditures (Including Carry-Forwards) FY 99	Appropriations Lapsed FY 99	Appropriations Continued FY 99
Resc Leases	950,000	1,035,000	1,033,522	1,478	0
Regional Education Services	2,882,384	2,882,384	2,882,384	0	0
Omnibus Grants State Supported Schools	2,854,000	2,851,628	2,708,874	142,754	0
Head Start Services	3,100,000	3,100,000	3,100,000	0	0
Head Start Enhancement	2,000,000	2,000,000	2,000,000	0	0
Family Resource Centers	6,032,500	6,032,500	6,032,500	0	0
Nutmeg Games	50,000	50,000	50,000	0	0
Charter Schools	9,750,000	9,750,000	9,600,500	149,500	0
School Building Grant and Interest Subsidy	575,500	575,500	575,092	408	0
Interest Subsidy Debt Avoidance Grants	0	55,000,000	0	0	55,000,000
Vocational Agriculture	2,621,700	2,621,700	2,621,700	0	0
Transportation of School Children	41,355,000	41,355,000	41,242,852	112,148	0
Adult Education	14,734,000	15,497,300	15,237,742	259,558	0
Health and Welfare for Private School Pupils	3,400,000	3,400,000	3,272,139	127,861	0
Education Equalization Grants	1,300,000,000	1,300,000,000	1,299,137,635	862,365	0
Bilingual Education	2,252,000	2,252,000	2,252,000	0	0
Priority School Districts	19,000,000	19,000,000	19,000,000	0	0
Young Parents Program	259,080	259,080	259,080	0	0
Interdistrict Cooperation	11,085,000	10,974,150	10,954,150	0	20,000
School Breakfast Program	1,559,805	1,562,177	1,561,908	269	0
Excess Cost - Student Based	46,000,000	43,590,000	42,362,456	1,227,544	0
Excess Cost - Equity	11,500,000	11,500,000	11,500,000	0	0
Medicaid Coordination	6,000,000	6,500,000	6,353,834	0	146,166
Student Achievement Grant	1,000,000	1,000,000	1,000,000	0	0
Nonpublic School Transportation	4,550,000	4,550,000	4,425,849	124,151	0
Celebration of Excellence	75,000	75,000	75,000	0	0
School to Work Opportunities	250,000	250,000	246,653	3,347	0
Extended School Hours and Support Programs	3,000,867	2,925,845	2,925,845	0	0
Youth Service Bureaus	2,763,398	2,708,130	2,703,990	4,140	0
Project Concern - Receiving District	219,492	0	0	0	0
Open Choice Program	2,600,000	3,144,492	3,139,989	4,503	0
Lighthouse Schools	300,000	300,000	300,000	0	0
Special Education Overburden	275,000	275,000	275,000	0	0
Transitional School Districts	3,000,000	3,000,000	3,000,000	0	0
Early Reading Success	19,800,000	19,604,000	19,604,000	0	0
Magnet Schools	17,500,000	17,325,000	15,617,639	1,507,361	200,000
Library Book Grants to Schools	0	9,264,000	2,970,000	0	6,294,000
Agency Total	1,680,903,514	1,755,913,269	1,683,535,881	5,275,010	67,102,378
Board of Education and Services for the Blind					
Personal Services	3,483,328	3,553,558	3,395,212	21,833	136,513
Other Expenses	1,358,977	2,363,977	2,082,795	0	281,182
Equipment	0	432,825	432,825	0	0
Supplementary Relief and Services	118,560	193,560	187,656	5,904	0
Education of Handicapped Blind Children	7,138,166	6,388,166	4,770,416	1,617,750	0
Vocational Rehabilitation	965,515	965,515	960,335	5,180	0

	Budgeted Appropriations (Excluding Carry-Forwards) FY 99	Adjusted Appropriations (Including Carry-Forwards) FY 99	Actual Expenditures (Including Carry-Forwards) FY 99	Appropriations Lapsed FY 99	Appropriations Continued FY 99
Education of Pre-School Blind Children	24,887	74,887	74,887	0	0
Special Training for the Deaf Blind	340,773	265,773	265,773	0	0
Connecticut Radio Information Service	42,750	42,750	42,750	0	0
Services for Persons With Impaired Vision	242,672	442,672	442,348	324	0
Tuition and Services - Public School Children	671,220	1,171,220	1,171,220	0	0
Agency Total	14,386,848	15,894,903	13,826,217	1,650,991	417,695
Commission on the Deaf and Hearing Impaired					
Personal Services	642,877	640,653	435,519	179,702	25,432
Other Expenses	157,799	157,799	142,603	15,196	0
Part-Time Interpreters	276,567	509,193	213,612	0	295,581
Agency Total	1,077,243	1,307,645	791,734	194,898	321,013
State Library					
Personal Services	5,128,493	5,552,658	5,208,543	161,809	182,306
Other Expenses	863,981	1,033,981	992,376	41,605	0
Equipment	25,000	25,000	25,000	0	0
Equipment - Law Department	404,930	404,930	404,930	0	0
Relocation of State Library Archives	0	2,000,000	0	0	2,000,000
Library and Educational Materials	459,225	459,225	459,225	0	0
Statewide Data Base Program	842,327	842,327	842,327	0	0
Basic Cultural Resources Grant	2,470,695	2,800,695	2,786,798	13,897	0
Cooperating Library Service Units	807,829	807,829	807,829	0	0
Grants - Local Institutions In Humanities	200,000	200,000	200,000	0	0
Ct Educational Telecommunications Corporation	850,000	850,000	850,000	0	0
Grants to Public Libraries	453,776	453,776	453,776	0	0
Connecticard Payments	697,835	697,835	697,835	0	0
Agency Total	13,204,091	16,128,256	13,728,639	217,311	2,182,306
Department of Higher Education					
Personal Services	1,926,435	2,150,438	1,972,240	97,395	80,803
Other Expenses	201,634	193,434	176,831	16,603	0
Equipment	1,000	1,000	1,000	0	0
Minority Advancement Program	2,738,278	2,995,640	2,128,995	0	866,645
Alternative Route to Certification	10,475	10,475	10,475	0	0
Student Community Services	15,713	15,713	11,512	4,201	0
National Service Act	517,350	792,350	545,350	177,000	70,000
International Initiatives	150,000	150,000	150,000	0	0
Minority Teacher Incentive Program	250,000	250,000	130,583	119,417	0
Higher Education State Matching Grant Fund	0	21,285,000	0	0	21,285,000
Scholastic Achievement Grant	5,199,859	5,549,859	5,233,065	0	316,794
Awards to Children of Deceased/Disabled Veterans	6,000	6,000	3,600	2,400	0
Independent College Student Grant	16,128,795	16,128,795	16,128,795	0	0
Aid for Public College Students	11,327,414	11,327,414	11,327,414	0	0
New England Board of Higher Education	364,962	364,962	361,439	3,523	0
Agency Total	38,837,915	61,221,080	38,181,299	420,539	22,619,242

	Budgeted Appropriations (Excluding Carry-Forwards) FY 99	Adjusted Appropriations (Including Carry-Forwards) FY 99	Actual Expenditures (Including Carry-Forwards) FY 99	Appropriations Lapsed FY 99	Appropriations Continued FY 99
Charter Oak College					
Operating Expenses	989,643	1,029,213	989,643	0	39,570
Distance Learning Consortium	200,580	206,311	200,580	0	5,731
Year 2000 Conversion	0	97,000	13,361	0	83,639
Agency Total	1,190,223	1,332,524	1,203,584	0	128,940
University of Connecticut					
Operating Expenses	148,852,425	167,626,244	158,975,577	0	8,650,667
Endowment Match	5,000,000	6,778,823	6,778,823	0	0
Tuition Freeze	2,433,000	2,433,000	2,433,000	0	0
Year 2000 Conversion	0	0	-2,300,828	0	2,300,828
Agency Total	156,285,425	176,838,067	165,886,572	0	10,951,495
University of Connecticut Health Center					
Operating Expenses	64,581,067	70,364,910	67,768,365	32	2,596,513
AHEC for Bridgeport	150,000	220,000	195,876	24,124	0
Year 2000 Conversion	0	1,797,748	613,656	0	1,184,092
Agency Total	64,731,067	72,382,658	68,577,897	24,156	3,780,605
Teachers' Retirement Board					
Personal Services	1,336,986	1,346,285	1,269,293	23,267	53,725
Other Expenses	444,261	547,687	545,395	2,292	0
Equipment	3,200	3,200	1,873	1,327	0
Computer Software	0	1,500,000	0	0	1,500,000
Year 2000 Conversion	0	3,481,690	2,508,949	0	972,741
Retirement Contributions	188,334,000	188,334,000	188,334,000	0	0
Retirees Health Service Cost	3,825,000	4,193,000	4,193,000	0	0
Municipal Retiree Health Insurance Costs	3,700,000	4,273,000	4,252,295	20,705	0
Agency Total	197,643,447	203,678,862	201,104,805	47,591	2,526,466
Regional Community-Technical Colleges					
Operating Expenses	93,954,201	3,709,924	0	0	3,709,924
Tuition Freeze	1,149,000	1,149,000	1,149,000	0	0
Personal Services	0	108,886,059	103,915,486	0	4,970,573
Agency Total	95,103,201	113,744,983	105,064,486	0	8,680,497
Connecticut State University					
Operating Expenses	107,686,183	119,037,102	114,547,734	0	4,489,368
Long Island Sound Council	75,000	75,000	75,000	0	0
Tuition Freeze	2,994,000	2,994,000	2,994,000	0	0
Year 2000 Conversion	0	2,500,000	1,960,863	0	539,137
Agency Total	110,755,183	124,606,102	119,577,597	0	5,028,505
Total Education, Museums, Libraries	2,374,118,157	2,543,048,349	2,411,478,711	7,830,496	123,739,142

	Budgeted Appropriations (Excluding Carry-Forwards) FY 99	Adjusted Appropriations (Including Carry-Forwards) FY 99	Actual Expenditures (Including Carry-Forwards) FY 99	Appropriations Lapsed FY 99	Appropriations Continued FY 99
Corrections					
Department of Correction					
Personal Services	245,611,859	282,053,196	269,844,664	1,261,500	10,947,032
Other Expenses	64,919,001	63,454,001	62,317,528	1,136,473	0
Equipment	3,363,496	3,363,496	3,362,973	523	0
Stress Management	0	40,586	39,378	0	1,208
Workers' Compensation Claims	10,350,180	13,550,180	13,904,424	-354,244	0
Inmate Medical Services	47,500,000	51,499,486	48,939,486	0	2,560,000
Overcrowding Contingency Account	3,909,725	2,509,725	2,508,500	764	461
Year 2000 Conversion	0	546,140	304,441	0	241,699
Aid to Paroled and Discharged Inmates	151,664	16,664	9,950	6,714	0
Legal Services to Prisoners	750,000	750,000	685,650	64,350	0
Volunteer Services	194,885	194,885	175,780	19,105	0
Community Residential Services	12,495,189	12,638,891	11,774,907	720,282	143,702
Community Non-Residential Services	1,026,761	1,037,029	908,640	118,121	10,268
Agency Total	390,272,760	431,654,279	414,776,321	2,973,588	13,904,370
Board of Pardons					
Other Expenses	34,141	34,141	29,640	4,501	0
Board of Parole					
Personal Services	2,972,913	3,729,260	3,549,659	42,699	136,902
Other Expenses	742,363	742,363	707,051	35,312	0
Community Residential Services	1,052,992	1,018,574	968,425	39,567	10,582
Community Non-Residential Services	1,592,484	1,653,357	1,623,447	14,037	15,873
Agency Total	6,360,752	7,143,554	6,848,582	131,615	163,357
Department of Children and Families					
Personal Services	148,204,479	161,988,639	155,062,645	828,482	6,097,512
Other Expenses	20,779,216	23,652,216	22,519,541	232,675	900,000
Short-Term Residential Treatment	360,000	365,980	360,000	0	5,980
Wilderness School Program	96,250	96,250	73,522	22,728	0
Children's Trust Fund	2,870,000	3,300,950	3,268,879	0	32,071
Substance Abuse Screening	1,609,500	1,930,595	1,909,500	0	21,095
Workers' Compensation Claims	1,588,611	2,688,611	2,723,894	-35,283	0
Local Systems of Care	0	2,686	0	0	2,686
Grants for Psychiatric Clinics for Children	10,704,115	11,122,844	10,833,429	179,288	110,127
Grants for Day Treatment Centers for Children	5,446,138	4,970,515	4,475,705	434,002	60,808
Treatment and Prevention of Child Abuse	5,200,488	5,584,512	5,512,481	16,788	55,243
Community Emergency Services	776,597	799,898	786,892	0	13,006
Community Preventive Services	2,547,385	2,638,187	2,495,231	116,835	26,121
Aftercare for Children	82,862	85,351	71,506	13,000	845
Family Violence Services	357,688	441,687	437,281	34	4,372
Health and Community Services	1,155,374	1,462,090	1,403,001	44,613	14,476
No Nexus Special Education	3,275,871	3,739,231	3,696,972	3,899	38,360

	Budgeted Appropriations (Excluding Carry-Forwards) FY 99	Adjusted Appropriations (Including Carry-Forwards) FY 99	Actual Expenditures (Including Carry-Forwards) FY 99	Appropriations Lapsed FY 99	Appropriations Continued FY 99
Family Preservation Services	5,995,722	6,478,593	6,406,922	7,576	64,095
Substance Abuse Treatment	2,343,941	2,401,492	2,367,458	7,968	26,066
Child Welfare Support Services	2,532,568	1,817,281	1,772,167	24,104	21,010
Juvenile Case Management Collaborative	547,600	566,520	560,911	0	5,609
Board and Care for Children - Adoption	20,367,295	20,823,577	20,452,714	64,581	306,282
Board and Care for Children - Foster	64,037,501	69,278,297	67,908,429	1,129,072	240,796
Board and Care for Children - Residential	72,175,759	82,746,496	80,313,729	1,118,846	1,313,921
Year 2000 Conversion	0	7,706,600	3,567,651	0	4,138,949
Agency Total	373,054,960	416,689,098	398,980,460	4,209,208	13,499,430
County Sheriffs					
Personal Services	1,241,864	1,296,297	1,220,256	21,608	54,433
Other Expenses	1,088,292	1,154,292	1,140,503	13,789	0
Sheriffs' Training	652,455	652,455	613,190	39,265	0
Special Deputy Sheriffs	22,402,361	22,336,361	21,569,992	766,369	0
Vaccination and Testing	79,430	79,430	59,725	19,705	0
Agency Total	25,464,402	25,518,835	24,603,666	860,736	54,433
Total Corrections	795,187,015	881,039,907	845,238,669	8,179,648	27,621,590
Judicial					
Judicial Department					
Personal Services	152,694,816	160,583,645	154,176,189	371,992	6,035,464
Other Expenses	44,993,012	44,543,012	44,542,272	740	0
Equipment	311,158	2,311,158	314,247	0	1,996,911
Alternative Incarceration Program	21,402,524	21,716,435	21,396,267	6,257	313,911
Grant to Justice Education Center, Inc.	225,000	225,000	225,000	0	0
Children Impacted By Domestic Violence	193,577	193,577	193,577	0	0
Juvenile Alternative Incarceration	16,591,595	16,788,141	16,591,595	0	196,546
Post-Release Non-Residential Services	1,327,300	1,327,300	1,327,300	0	0
Juvenile Justice Centers	1,781,623	1,845,070	1,781,623	0	63,447
Probate Court	500,000	500,000	500,000	0	0
Truancy Services	350,000	350,000	350,000	0	0
Year 2000 Conversion	0	1,852,340	1,131,352	0	720,988
Agency Total	240,370,605	252,235,678	242,529,422	378,989	9,327,267
Public Defender Services Commission					
Personal Services	18,755,417	20,340,748	19,569,196	9,596	761,956
Other Expenses	824,736	974,686	974,642	44	0
Special Public Defenders - Contractual	1,268,000	1,268,000	1,267,950	50	0
Special Public Defenders - Non-Contractual	910,000	955,000	955,000	0	0
Expert Witnesses	670,000	720,000	720,000	0	0
Training and Education	27,000	27,000	27,000	0	0
Legal Fees	0	400,000	0	0	400,000
Agency Total	22,455,153	24,685,434	23,513,788	9,690	1,161,956
Total Judicial	262,825,758	276,921,112	266,043,210	388,679	10,489,223

	Budgeted Appropriations (Excluding Carry-Forwards) FY 99	Adjusted Appropriations (Including Carry-Forwards) FY 99	Actual Expenditures (Including Carry-Forwards) FY 99	Appropriations Lapsed FY 99	Appropriations Continued FY 99
Non-Functional					
Miscellaneous Appropriations to the Governor					
Governor's Contingency	18,000	18,000	0	18,000	0
Debt Service - State Treasurer					
Debt Service	818,356,875	842,356,875	819,081,254	23,275,621	0
UConn 2000 Debt Service	31,900,075	31,900,075	29,310,393	2,589,682	0
Agency Total	850,256,950	874,256,950	848,391,647	25,865,303	0
Reserve for Salary Adjustments					
Reserve for Salary Adjustments	102,430,100	27,083,301	0	0	27,083,301
Workers' Compensation Claims - Department of Administrative Services					
Workers' Compensation Claims	12,122,111	13,342,111	13,227,600	114,511	0
Judicial Review Council					
Personal Services	109,519	114,345	108,332	4,023	1,990
Other Expenses	92,200	92,200	16,645	75,555	
Equipment	1,000	1,000	0	1,000	0
Agency Total	202,719	207,545	124,977	80,578	1,990
Refunds of Payments					
Other Expenses	450,000	450,000	283,928	166,072	0
Refunds of Escheated Property					
Other Expenses	0	8,951,783	8,951,783	0	0
Adjudicated Claims					
Other Expenses	0	4,943,423	4,943,423	0	0
Fire Training Schools					
Willimantic	78,480	78,480	78,480	0	0
Torrington	52,910	52,910	52,910	0	0
New Haven	35,420	35,420	35,420	0	0
Derby	35,420	35,420	35,420	0	0
Wolcott	46,420	46,420	46,420	0	0
Fairfield	35,420	35,420	35,420	0	0
Hartford	62,700	62,700	62,700	0	0
Middletown	27,500	27,500	27,500	0	0
Agency Total	374,270	374,270	374,270	0	0
Maintenance of County Base Fire Radio Network					
Maintenance of County Base Fire Radio Network	21,000	21,000	21,000	0	0

	Budgeted Appropriations (Excluding Carry-Forwards) FY 99	Adjusted Appropriations (Including Carry-Forwards) FY 99	Actual Expenditures (Including Carry-Forwards) FY 99	Appropriations Lapsed FY 99	Appropriations Continued FY 99
Maintenance of Statewide Fire Radio Network					
Maintenance of Statewide Fire Radio Network	14,000	14,000	14,000	0	0
Grants to Non-Profit General Hospitals					
Grants to Non-Profit General Hospitals	34	34	31	3	0
State Police Association					
State Police Association	178,000	178,000	101,476	76,524	0
State Firemen's Association					
State Firemen's Association	200,000	200,000	36,810	163,190	0
Interstate Sanitation Commission					
Interstate Sanitation Commission	3,333	3,333	3,333	0	0
Reimbursements to Towns for Loss of Taxes on Taxes on State Property					
Reimbursements to Towns for Loss of Taxes on Taxes on State Property	34,068,355	62,268,355	61,697,742	370,613	200,000
Reimbursements to Towns for Loss of Taxes on Private Tax-Exempt Property					
Reimbursements to Towns for Loss of Taxes on Private Tax-Exempt Property	85,120,537	97,120,537	97,120,537	0	0
Unemployment Compensation					
Other Expenses	5,113,000	4,238,000	2,871,163	1,366,837	0
State Employees Retirement Contributions					
Other Expenses	199,304,785	199,304,785	199,304,785	0	0
Higher Education Alternative Retirement System					
Other Expenses	12,415,000	12,815,000	12,002,765	812,235	0
Pension and Retirements - Other Statutory					
Other Expenses	1,500,000	1,500,000	1,493,501	6,499	0
Judges and Compensation Commissioners Retirement					
Other Expenses	9,283,249	9,283,249	9,283,249	0	0
Group Life Insurance					
Other Expenses	2,575,000	3,050,000	2,958,497	91,503	0
Tuition Reimbursement - Training and Travel					
Other Expenses	925,625	8,966,537	2,427,257	0	6,539,280
Employers Social Security Tax					
Other Expenses	128,665,949	147,706,780	137,765,255	1,941,525	8,000,000

	Budgeted Appropriations (Excluding Carry-Forwards) FY 99	Adjusted Appropriations (Including Carry-Forwards) FY 99	Actual Expenditures (Including Carry-Forwards) FY 99	Appropriations Lapsed FY 99	Appropriations Continued FY 99
State Employees Health Service Cost					
Other Expenses	178,980,200	235,980,200	173,132,767	2,847,433	60,000,000
Retired State Employees Health Service Cost					
Other Expenses	130,850,000	130,850,000	128,593,043	2,256,957	0
Insurance Recoveries					
Other Expenses	0	184,017	8,665	2	175,350
Total Non-Functional	1,755,072,217	1,843,311,210	1,705,133,504	36,177,785	101,999,921
Grand Total	10,119,521,047	11,097,237,006	10,250,808,898	178,233,228	668,194,880

[1] These funds have not been expended in the traditional sense. As this account was established as a "separate non lapsing account within the General Fund", a decision was made to transfer the funds from OPM's other current expenses account (017) into a restricted account (317). In so doing, the funds end up being shown as if they were expended. Actual expenditures as of June 30, 1999, from the 317 account were \$447,448.

II. Transportation Fund

Due to \$47.1 million in additional revenues above the originally budgeted deficit of \$2.9 million and \$24.5 million in lower spending requirements (primarily due to a Debt Service lapse of \$22.7 million), the FY 99 Transportation Fund surplus from operations totaled \$68.7 million or 8.5% of the budget. After deducting appropriations from surplus (including \$12.9 million for the reflectorized marker plate program and \$3.9 million for the settlement of the lawsuit regarding construction of the DOT headquarters building), the remaining surplus of \$51.9 million will be used for debt retirement. This leaves the required fund balance of \$20 million as of June 30, 1999 in addition to the \$31.4 million from FY 98 surplus, which will also be used for debt retirement.

Revenues

Revenues increased by \$47.1 million or 5.8% above original budget plan estimates. The increases were primarily experienced in (1) Motor Fuels Taxes, (2) Motor Vehicle Receipts, and (3) License, Permits and Fees. Please refer to Exhibit F on [page 47](#) for the FY 99 Transportation Fund Revenue Schedule.

The Motor Fuels Tax increased by \$23.9 million, or 4.8% over budget act estimates. Motor Vehicle Receipts increased by \$10.0 million and Licenses, Permits and Fees increased by \$8.9 million, both above budget plan estimates. The revenue increases can be primarily attributed to a continued strength in the economy.

Expenditures

Expenditure requirements had been budgeted at \$811.6 million. However, actual FY 99 expenditures were \$24.5 million or 3% lower than originally anticipated. The total lapse figure of \$34.5 million was \$24.5 million higher than the originally budgeted lapse of \$10 million. This additional lapse can be attributed to the Debt Service account which lapsed a total of \$22.7 million, the Department of Transportation's lapse of \$7.5 million (primarily in Other Expenses, \$3.7 million; Rail Operations, \$1.2 million; Bus Operations, \$1.7 million) and other miscellaneous lapses.

The total lapse would have been higher, except for the following items. First, up to \$2 million from the Rail Operations program was carried forward into FY 00 for the settlement of a Metro North personal injury claim. Second, on May 6, 1999 the Finance Advisory Committee (FAC) approved the transfer of \$6.3 million to the Highway and Bridge Renewal account from Personal Services (\$3.5 million) and Other Expenses (\$2.8 million) for the purchase of 80 various types of trucks. And, on June 3, 1999, the FAC approved the transfer of \$120,000 from the Department of Transportation's Other Expenses account to the Workers' Compensation Claims account to cover revised estimated workers' compensation costs. (As of July 1, 1999, this account was transferred to a non-functional account within the Department of Administrative Services (DAS). However, the Transportation Fund will continue to be charged for these expenditures).

Also, please note that SA 99-10, the appropriations act, made the following additional FY 99 appropriations from the FY 99 Transportation Fund surplus as follows:

\$3.9 million to the Department of Transportation (DOT) (available for expenditure through FY 00) for the settlement of a pending claim concerning cost overruns for the DOT's office building,

\$12.9 million to the Department of Motor Vehicles (DMV) (available for expenditure through FY 01) for the conversion to a fully reflectorized license plate program.

Expenditures under the Transportation Fund include the operations of the Department of Transportation (DOT), the Department of Motor Vehicles (DMV), debt service, fringe benefits for both agencies, and other miscellaneous accounts. However, the FY 99 total expenditures include \$4.3 million for the Highway Motor Patrol Program. This expenditure resulted from a provision in SA 98-6, the appropriations act, which required the unexpended balance in the Fleet Purchase account in the Department of Public Safety's budget to be carried forward into FY 99 for the purchase of new cruisers. The actual expenditure for this purpose was \$4.1 million. (Please note that the Highway Motor Patrol Program was transferred to the General Fund in FY 99 and its associated fringe benefits were transferred in FY 00).

It was originally anticipated that the Department of Transportation would require a transfer of \$13 million from the Reserve for Salary Adjustments for collective bargaining increases and related costs. However, the department's Personal Services account was able to absorb most of these costs because of lower overtime expenditures due to the relatively mild winter. As a result, only a \$4.4 million transfer was needed from the Reserve account.

Below are explanations concerning lapses in excess of \$1 million. Please refer to Exhibit H on [page 50](#) for a complete listing of budgeted and adjusted appropriations, actual expenditures, lapses and carry forwards into FY 00.

Exhibit E
FY 99 Transportation Fund Summary
as of June 30, 1999
(in millions)

	<u>Budget</u> <u>Plan</u>	<u>Increases</u> <u>(Decreases)</u>	<u>Actual</u>
Revenues			
Taxes	\$ 490.4	\$ 24.3	\$ 514.7
Other Revenue	318.3	22.8	341.1
Total Revenue	\$ 808.7	\$ 47.1	\$ 855.8
Appropriations			
Original Appropriations - Gross	\$ 821.6	\$ -	\$ 821.6
Less:			
Lapses	(10.0)	(24.5)	(34.5)
Total Net Expenditures	\$ 811.6	\$ (24.5)	\$ 787.1
Surplus from FY 99 Operations	\$ (2.9)	\$ 71.6	\$ 68.7
Appropriations from FY 99 Transportation Fund Surplus			
DOT Building Settlement	\$ -	\$ (3.9)	\$ (3.9)
Reflectorized Marker Plate Program	-	(12.9)	(12.9)
Adjusted Unappropriated Surplus [1]	\$ (2.9)	\$ 54.8	\$ 51.9
Required Fund Balance, July 1, 1998 (per Sec. 13b-68) [1]	20.0	-	20.0
Reserve for Debt Retirement [1]	-	(51.9)	(51.9)
Fund Balance as of June 30, 1999 [1]	\$ 17.1	\$ 2.9	\$ 20.0

[1] Please note that Sec. 13b-68 requires that amounts in excess of \$20 million be used by the State Treasurer to reduce the future cost of Special Tax Obligation (STO) debt service. It should be noted that \$31.4 million from FY 98 surplus is also available to be used for debt retirement.

Exhibit F

FY 99 Transportation Fund Revenue (in thousands)

	FY 99 Revenue Budget	Realized	Over/(Under) Budget Plan
Taxes			
Motor Fuels Tax	\$476,000	\$499,912	\$23,912
Petroleum Products Tax	20,000	20,000	0
Refunds of Taxes	(5,600)	(5,177)	423
Total - Taxes Less Refunds	\$490,400	\$514,735	\$24,335
Other Sources			
Motor Vehicle Receipts	\$177,000	\$187,041	\$10,041
Licences, Permits, and Fees	104,000	112,946	8,946
Interest Income	35,000	38,494	3,494
Federal Grants (FTA)	2,800	3,069	269
Transfer to Conservation Fund	(500)	(500)	0
Total -Other Sources	\$318,300	\$341,050	\$22,750
Total Revenue	\$808,700	\$855,785	\$47,085

FY 99 Transportation Fund Lapsing Appropriations

The agencies and accounts financed by the Transportation Fund lapsed a total of \$34.5 million. This is \$24.5 million higher than the originally anticipated lapse of \$10 million. Please refer to Exhibit G on [page 49](#) for a listing of agency lapses and the percentages relative to the adjusted appropriations for the various components of the Transportation Fund.

Below are brief descriptions, by account, of significant lapses.

Agency/Description	Lapsing Amount (in millions)
---------------------------	---

<u>Department of Transportation (DOT)</u>	\$7,528,320
--	--------------------

The Department of Transportation (DOT) lapsed a total of \$7.5 million. The largest lapses were in Other Expenses, \$3,665,020, Rail Operations, \$1,161,290, and Bus Operations, \$1,741,542.

The lapse of \$3.7 million in Other Expenses is attributable to a mild winter season and uncommitted funds (\$2.1 million), the commitment of funds in FY 99 for goods and services (\$1.5 million), but which were not received and/or billed in time to be processed through the comptroller's system prior to the deadline for closing the accounts for FY 99 expenditures. These items will now be paid from the FY 00 appropriation.

Regarding the \$1.2 million lapse in Rail Operations, the Department had budgeted \$3 million in FY 99 to meet the requirements of an anticipated personal injury claim. Negotiations are continuing and it is now anticipated that the claim will be settled in FY 00. SA 99-10, the appropriations act, carried forward \$2 million into FY 00 for this purpose. The remaining \$1 million budgeted for the claim lapsed. The remaining lapse was due to increased efficiencies by Metro North and the Department of Transportation.

The lapse of \$1.7 million in Bus Operations was due to lower fuel costs in the beginning of the fiscal year and efficiencies at the transit district level, primarily Connecticut Transit. It represents 2.9% of the appropriation.

Non-Functional Accounts

Debt Service	\$22,727,182
---------------------	---------------------

The Debt Service lapse has three components. First, the Treasurer's Office used \$9.7 million of the FY 97 Transportation Fund surplus to pay debt service due in FY 99. This action permitted \$9.7 million to become part of the FY 99 lapse figure. Second, \$5.3 million is due to a reduction in arbitrage rebate payments. Arbitrage rebate is the penalty paid by the state to the federal government for borrowing bond funds at a lower rate and investing them at a higher rate. The reduction reflected lower differences between the interest rate at which bonds were issued and the interest rates at which they were invested. The remaining \$7.7 million is due to differences between assumed and actual dates of bond issuance and the interest rates at which bonds were issued. The differences in dates of issuance pertain to (1) postponement of the \$100 million spring 1998 Special Tax Obligation (STO) issue until the fall of 1998, and (2) elimination of the spring 1999 issue. The actual interest rate for the \$225 million issued in the fall of 1998 was 4.7% rather than the 6.3% estimated for the spring and fall 1998 issues.

State Employees Health Service Costs	\$3,098,923
---	--------------------

Funds in the amount of \$3.1 million lapsed because of lower than anticipated utilization due to the Early Retirement Incentive Program (ERIP). Approximately 500 Transportation Fund employees retired under the ERIP. The retired state employee health service costs of former Transportation Fund employees are an expense of the General Fund.

Exhibit G

FY 99 Transportation Fund Lapsing Appropriations of \$1 Million or More

	Adjusted Appropriation (in millions)	Lapse Amount (in millions)	% of Adjusted Appropriation
Agency			
Department of Transportation	\$330.1	\$ 7.5	2.3%
Non Functional Accounts			
Debt Service	402.1	22.7	5.6%
State Employees Health Service Cost	17.9	3.1	17.3%
All Others	136.4	1.2	0.9%
Total Transportation Fund	\$886.5	\$34.5	3.9%

Exhibit H

Transportation Fund Account Detail

	Budgeted Appropriations (Excluding Carry-Forwards) FY 99	Adjusted Appropriations (Including Carry-Forwards) FY 99	Actual Expenditures (Including Carry-Forwards) FY 99	Appropriations Lapsed FY 99	Appropriations Continued FY 99
General Government					
State Insurance Purchasing Board					
Other Expenses	2,204,017	2,204,017	2,091,471	112,546	0
Total General Government	2,204,017	2,204,017	2,091,471	112,546	0
Regulation and Protection					
Department of Public Safety					
Personal Services	0	97,615	97,615	0	0
Other Expenses	0	202,214	85,347	116,867	0
Equipment	0	28,879	28,879	0	0
Fleet Purchase	0	4,073,292	4,072,772	520	0
Worker's Compensation Claims	0	48,188	48,188	0	0
Agency Total	0	4,450,188	4,332,801	117,387	0
Department of Motor Vehicles					
				0	
Personal Services	30,771,474	31,443,886	31,375,136	68,750	0
Other Expenses	12,833,407	12,833,407	12,691,187	142,220	0
Equipment	700,000	700,000	699,911	89	0
Graduated Licenses	200,000	200,000	194,359	5,641	0
Reflective License Plates	0	12,920,000	0	0	12,920,000
Agency Total	44,504,881	58,097,293	44,960,593	216,700	12,920,000
Total Regulation and Protection	44,504,881	62,547,481	49,293,394	334,087	12,920,000
Transportation					
Department of Transportation					
Personal Services	97,942,612	98,542,612	98,459,045	83,567	0
Other Expenses	35,770,234	32,850,234	29,185,214	3,665,020	0
Equipment	1,500,000	2,327,067	1,948,793	91	378,183
Highway and Planning Research	2,274,356	3,027,019	2,741,814	0	285,205
Minor Capitol Projects	350,000	587,617	552,122	0	35,495
Highway and Bridge Renewal - Equipment	4,000,000	18,329,149	10,073,346	312	8,255,491
Handicapped Access Program	7,420,669	7,420,669	6,616,247	804,422	0
Hospital Transit for Dialysis	113,000	113,000	106,605	6,395	0
Litigation Settlement Costs	0	3,900,000	0	0	3,900,000
Rail Operations	55,085,264	55,085,264	51,923,974	1,161,290	2,000,000
Bus Operations	59,291,630	59,291,630	57,550,088	1,741,542	0
Eastern Connecticut Rail Service Study	0	45,725	45,684	41	0
Tweed - New Haven Airport Improvements	600,000	600,000	600,000	0	0
Dial-A-Ride	2,500,000	2,500,000	2,438,308	61,692	0
Worker's Compensation Claims	1,408,686	1,528,686	1,529,625	-939	0
Highway and Bridge Renewal	0	2,508,643	2,508,643	0	0
Highway and Bridge Renewal	10,200,000	10,200,000	8,427,921	0	1,772,079
Aircraft Registration	873,000	873,000	868,113	4,887	0
Town Aid Road Grants	30,000,000	29,877,611	29,877,611	0	0
Emergency Relief - Town Repairs	0	473,845	0	0	473,845
Agency Total	309,329,451	330,081,771	305,453,153	7,528,320	17,100,298
Total Transportation	309,329,451	330,081,771	305,453,153	7,528,320	17,100,298

	Budgeted Appropriations (Excluding Carry-Forwards) FY 99	Adjusted Appropriations (Including Carry-Forwards) FY 99	Actual Expenditures (Including Carry-Forwards) FY 99	Appropriations Lapsed FY 99	Appropriations Continued FY 99
Non-Functional					
Debt Service - State Treasurer					
Debt Service	387,094,062	402,094,062	379,366,880	22,727,182	0
Reserve For Salary Adjustments					
Reserve For Salary Adjustments	15,846,000	26,663,958	0	0	26,663,958
Refunds of Payments					
Other Expenses	1,810,000	1,810,000	1,711,835	98,165	0
Unemployment Compensation					
Other Expenses	475,000	475,000	231,880	243,120	0
State Employees Retirement Contributions					
Other Expenses	28,419,000	28,419,000	28,419,000	0	0
Insurance - Group Life					
Other Expenses	133,000	133,000	117,726	15,274	0
Employers Social Security Tax					
Other Expenses	12,920,300	13,820,300	13,469,425	350,875	0
State Employees Health Service Cost					
Other Expenses	18,832,600	17,932,600	14,833,677	3,098,923	0
Insurance Recoveries					
Other Expenses	0	302,159	14,443	0	287,716
Total Non-Functional	465,529,962	491,650,079	438,164,866	26,533,539	26,951,674
Grand Total	821,568,311	886,483,348	795,002,884	34,508,492	56,971,972